

ALCOA INC.
Form 8-K
October 11, 2016
UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

**PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934**

Date of Report (Date of earliest event reported): October 11, 2016 (October 11, 2016)

ALCOA INC.

(Exact name of Registrant as specified in its charter)

| | | |
|---|-----------------------------|---|
| <u>Pennsylvania</u> | <u>1-3610</u> | <u>25-0317820</u> |
| (State or Other Jurisdiction of Incorporation) | (Commission File Number) | (I.R.S. Employer Identification Number) |

| | |
|---|--------------------------|
| <u>390 Park Avenue, New York, New York</u> | <u>10022-4608</u> |
| (Address of Principal Executive Offices) | (Zip Code) |

| | |
|-------------------------------------|---------------------|
| Office of Investor Relations | 212-836-2674 |
| Office of the Secretary | 212-836-2732 |

(Registrant's telephone number, including area code)

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the Registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

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Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02 Results of Operations and Financial Condition.

On October 11, 2016, Alcoa Inc. issued a press release announcing its financial results for the third quarter of 2016. A copy of the press release is attached hereto as Exhibit 99.1 and incorporated herein by reference.

The information in this Current Report on Form 8-K, including Exhibit 99.1, is being furnished in accordance with the provisions of General Instruction B.2 of Form 8-K.

Item 9.01 Financial Statements and Exhibits.

(d) Exhibits.

The following is furnished as an exhibit to this report:

99.1 Alcoa Inc. press release dated October 11, 2016.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

ALCOA INC.

By: /s/ Audrey Strauss
Name: Audrey Strauss
Title: Executive Vice
President, Chief
Legal Officer
and Secretary

Date: October 11, 2016

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EXHIBIT INDEX

Exhibit No. Description

99.1 Alcoa Inc. press release dated October 11, 2016.

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