Edgar Filing: MAM SOFTWARE GROUP, INC. - Form 4

MAM SOFTWARE GROUP, INC. Form 4 June 20, 2016 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or 0.5 response... Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person * 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading WYNNEFIELD PARTNERS Issuer Symbol SMALL CAP VALUE LP MAM SOFTWARE GROUP, INC. (Check all applicable) [MAMS] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner Х _Other (specify Officer (give title (Month/Day/Year) below) below) **450 SEVENTH AVENUE, SUITE** 06/16/2016 509 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting NEW YORK, NY 10123 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 4. Securities Acquired 5. Amount of 1.Title of 2. Transaction Date 2A. Deemed 3. 6. Ownership 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) any Code (D)Beneficially (D) or Beneficial (Instr. 3, 4 and 5) Ownership (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common Stock, par D (1) value 06/16/2016 Ρ 344 А 986,596 5.94 \$0.0001 per share Common See Stock, par \$ 5.94 value 06/16/2016 Ρ 756 2,271,641 Ι footnotes (2) (3) (4) \$0.0001 per share D⁽¹⁾ 06/17/2016 Ρ 41 A 986,637

Common Stock, par value \$0.0001 per share				\$ 5.98		
Common Stock, par value 06/17/2016 \$0.0001 per share	Р	91	A	\$ 5.98 2,271,732	Ι	See footnotes (2) (3) (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D)	5	ate	7. Title Amour Under Securi (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
WYNNEFIELD PARTNERS SMALL CAP VALUE LP 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х			
WYNNEFIELD PARTNERS SMALL CAP VALUE LP I 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х			

WYNNEFIELD SMALL CAP VA 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	ALUE OFFSHORE FUND LTD	Х			
WYNNEFIELD CAPITAL MAN 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	AGEMENT LLC	Х			
WYNNEFIELD CAPITAL INC 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х			
Wynnefield Capital, Inc. Profit Sh 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123	aring Plan	Х			
OBUS NELSON 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х			
LANDES JOSHUA 450 SEVENTH AVENUE SUITE 509 NEW YORK, NY 10123		Х			
Signatures					
WYNNEFIELD PARTNERS SMALL CAP VALUE, L.P., By: Wynnefield Capital Management, LLC, General Partner, /s/ Nelson Obus, Managing Member					
	**Signature of Reporting Person		Date		
	IALL CAP VALUE, L.P. I, By: Wynnefield Ca er, /s/ Nelson Obus, Managing Member	pital	06/20/2016		
	**Signature of Reporting Person		Date		
WYNNEFIELD SMALL CAP V Inc., /s/ Nelson Obus, President	ALUE OFFSHORE FUND, LTD., By: Wynnef	ïeld Capital,	06/20/2016		
	**Signature of Reporting Person		Date		
WYNNEFIELD CAPITAL MAN	AGEMENT, LLC, /s/ Nelson Obus, Managing	Member	06/20/2016		
	**Signature of Reporting Person		Date		
WYNNEFIELD CAPITAL, INC.	, /s/ Nelson Obus, President		06/20/2016		
	**Signature of Reporting Person		Date		
WYNNEFIELD CAPITAL, INC. Signatory	PROFIT SHARING PLAN, /s/ Nelson Obus, A	Authorized	06/20/2016		
	**Signature of Reporting Person		Date		
/s/ Nelson Obus, Individually			06/20/2016		

**Signature of Reporting Person

/s/ Joshua Landes, Individually

**Signature of Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Reporting Person directly beneficially owns 986,637 shares of common stock, \$0.0001 par value per share ("Common Stock") of MAM Software Group, Inc. (the "Issuer"). Wynnefield Capital Management, LLC, as the sole general partner of the Reporting Person, has an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns

(1) has an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that the Reporting Person directly beneficially owns.

The Reporting Person has an indirect beneficial ownership interest in 1,481,461 shares of Common Stock, which are directly beneficially owned by Wynnefield Partners Small Cap Value, L.P. I, as members of a group under Section 13(d) of the Securities Exchange Act of 1934, as amended (the "Exchange Act"). Wynnefield Partners Small Cap Value, L.P. I, which maintains offices at the

(2) same address as the Reporting Person, is filing this statement jointly with the Reporting Person. Wynnefield Capital Management, LLC, as the sole general partner of Wynnefield Partners Small Cap Value, L.P. I, has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value L.P. I directly beneficially owns. Nelson Obus and Joshua Landes, as co-managing members of Wynnefield Capital Management, LLC, have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Partners Small Cap Value, L.P. I directly beneficially owns.

The Reporting Person has an indirect beneficial ownership interest in 785,797 shares of Common Stock, which are directly beneficially owned by Wynnefield Small Cap Value Offshore Fund, Ltd., as members of a group under Section 13(d) of the Exchange Act. Wynnefield Small Cap Value Offshore Fund, Ltd., which maintains offices at the same address as the Reporting Person, is filing this

(3) statement jointly with the Reporting Person. Wynnefield Capital, Inc. as the sole investment manager of Wynnefield Small Cap Value Offshore Fund, Ltd., has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns. Nelson Obus and Joshua Landes, as principal executive officers of Wynnefield Capital, Inc., have an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Small Cap Value Offshore Fund, Ltd. directly beneficially owns.

The Reporting Person has an indirect beneficial ownership interest in 4,474 shares of Common Stock, which are directly beneficially owned by Wynnefield Capital, Inc. Profit Sharing Plan, as members of a group under Section 13 (d) of the Exchange Act. Wynnefield Capital, Inc. Profit Sharing Plan, which maintains offices at the same address as the Reporting Person, is filing this statement jointly

(4) Capital, Inc. Front Sharing Plan, which maintains offices at the same address as the Reporting Person, is fining this statement jointly with the Reporting Person. Nelson Obus, as the portfolio manager of Wynnefield Capital, Inc. Profit Sharing Plan, has an indirect beneficial ownership interest in the shares of Common Stock that Wynnefield Capital, Inc. Profit Sharing Plan directly beneficially owns.

Remarks:

Each of the Reporting Owners identified in this statement disclaims beneficial ownership of the securities described in this sta

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Date

06/20/2016

Date