

MISONIX INC
Form 4
June 12, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Napoli Frank

(Last) (First) (Middle)

4 PINE MEADOW PLACE

(Street)

COMMACK, NY 11725

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
MISONIX INC [MSON]

3. Date of Earliest Transaction (Month/Day/Year)
06/10/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)
VP, Operations

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
____ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock, par value \$.01 | 06/10/2014 | | M | | 4,000 | A | \$ 3.45 |
| Common Stock, par value \$.01 | 06/10/2014 | | M | | 4,000 | A | \$ 4.04 |
| Common Stock, par value \$.01 | 06/10/2014 | | M | | 6,000 | A | \$ 2.04 |
| Common Stock, par | 06/10/2014 | | M | | 7,000 | A | \$ 2.44 |

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value \$.01

| | | | | | | | |
|-------------------------------------|------------|---|-------|---|------------|--------|---|
| Common Stock, par value \$.01 | 06/10/2014 | M | 3,250 | A | \$ 1.82 | 28,000 | D |
|-------------------------------------|------------|---|-------|---|------------|--------|---|

| | | | | | | | |
|-------------------------------------|------------|---|-------|---|------------|--------|---|
| Common Stock, par value \$.01 | 06/10/2014 | M | 3,000 | A | \$ 2.19 | 31,000 | D |
|-------------------------------------|------------|---|-------|---|------------|--------|---|

| | | | | | | | |
|-------------------------------------|------------|---|-------|---|------------|--------|---|
| Common Stock, par value \$.01 | 06/10/2014 | M | 1,500 | A | \$ 2.96 | 32,500 | D |
|-------------------------------------|------------|---|-------|---|------------|--------|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Stock Option (right to buy) | \$ 3.45 | 06/10/2014 | | M | 4,000 | 10/20/2007 10/20/2016 | Common Stock, par value \$.01 | 4,000 | |
| Stock Option (right to buy) | \$ 4.04 | 06/10/2014 | | M | 4,000 | 09/05/2008 09/04/2017 | Common Stock, par value \$.01 | 4,000 | |
| Stock Option (right to buy) | \$ 2.04 | 06/10/2014 | | M | 6,000 | 09/29/2009 09/29/2018 | Common Stock, par value \$.01 | 6,000 | |
| Stock Option (right to buy) | \$ 2.44 | 06/10/2014 | | M | 7,000 | 09/09/2010 09/09/2019 | Common Stock, par value | 7,000 | |

| | | | | | | | | | | | |
|--------------------------------------|---------|------------|---|-------|------------|------------|--|--|--|--------|--|
| buy) | | | | | | | | | | \$.01 | |
| Stock Option (right to buy) | \$ 1.82 | 06/10/2014 | M | 3,250 | 09/07/2011 | 09/07/2020 | | | Common Stock, par value \$.01 | 3,250 | |
| Stock Option (right to buy) | \$ 2.19 | 06/10/2014 | M | 3,000 | 09/13/2012 | 09/13/2021 | | | Common Stock, par value \$.01 | 3,000 | |
| Stock Option (right to buy) | \$ 2.96 | 06/10/2014 | M | 1,500 | 09/13/2013 | 09/13/2022 | | | Common Stock, par value \$.01 | 1,500 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|-------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Napoli Frank 4 PINE MEADOW PLACE COMMACK, NY 11725 | | | VP, Operations | |

Signatures

/s/ Frank Napoli 06/12/2014
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Granted pursuant to issuer's stock option plans.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.
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