Edgar Filing: GASPARINI ROBERT P - Form 3/A

GASPARINI ROBERT P

Form 3/A

September 13, 2012

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person *

 GASPARINI ROBERT P

COMMONWEALTH DRIVE

(Street)

C/O NEOGENOMICS.

(Last)

SUITE 9

INC., 12701

(First)

(Middle)

Statement

(Month/Day/Year)

05/02/2011

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

NEOGENOMICS INC [NGNM.OB]

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

05/02/2011

(Check all applicable)

10% Owner _X_ Director _X__ Officer Other

(give title below) (specify below) Chief Scientific Officer

6. Individual or Joint/Group

Filing(Check Applicable Line) _X_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

FORT MYERS, FLÂ US 33913

(City)

(State)

1. Title of Security

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

Table I - Non-Derivative Securities Beneficially Owned

Ownership

(Instr. 5)

Direct (D) or Indirect

(Instr. 5)

Common Stock

 $33,155 \stackrel{(3)}{=}$

D

Â

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security**

Conversion or Exercise

5. Ownership Form of

6. Nature of Indirect Beneficial

Ownership

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	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	(Instr. 5)
Stock Option (right to buy)	01/03/2005	01/03/2015	Common Stock	575,000	\$ 0.25	D	Â
Stock Option (right to buy)	02/13/2007	02/12/2017	Common Stock	100,000	\$ 1.47	D	Â
Stock Option (right to buy)	03/12/2008(1)	03/12/2015	Common Stock	584,000	\$ 0.8	D	Â
Stock Option (right to buy)	12/31/2009(2)	02/01/2016	Common Stock	150,000	\$ 0.62	D	Â

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
GASPARINI ROBERT P							
C/O NEOGENOMICS, INC.	â v	â	Chief Scientific Officer	â			
12701 COMMONWEALTH DRIVE SUITE 9	АЛ	А	A Chief Scientific Officer	Α			
FORT MYERS, FL US 33913							

Signatures

/s/ Robert P
Gasparini

**Signature of Reporting Person

O9/13/2012

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On March 12, 2008 Mr. Gasparini was granted an option to purchase 784,000 shares. The first vesting and exercisable date was March 12, 2008. On this date 24,000 options vested and then there was to be 45 additional monthly vesting of 8,000 shares for a total of 384,000 options. Included in this grant were 400,000 non-qualified options with an initial vesting on March 12, 2008. From this grant 200,000 options were cancelled. In terms of the remaining 200,000 non-qualified options, 100,000 options are vested and exercisable.
- On February 2, 2009 Mr. Gasparini was granted an option to purchase 200,000 shares. These were performance options which vest based (2) on various milestones. To date 50,000 shares are vested and exercisable, 50,000 shares have been cancelled and 100,000 shares are unvested.
- (3) The initial Form 3 filing was misstated and there were certain directly owned shares which should have not been included on the initial ownership filing. Therefore their were 33,155 shares on May 2, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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