Edgar Filing: Younes Rudolph-Riad - Form 4

Younes Rud Form 4 June 01, 201	-										
FORM A									• • • • • •	OMB APPROVAL	
Washington, D.C. 20549							OMB Number:	3235-0287			
Check th if no long	ner								Expires:	January 31, 2005	
subject to Section 1 Form 4 c Form 5	or SIAIEN	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,								average urs per 0.5	
obligatio may con <i>See</i> Instr 1(b).	ns Section 170	(a) of the	Public Ut		ling Com	ipany	Act o	of 1935 or Section	on		
(Print or Type]	Responses)										
1. Name and Address of Reporting Person <u>*</u> Younes Rudolph-Riad			2. Issuer Name and Ticker or Trading Symbol Artio Global Investors Inc. [ART]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)		3. Date of Earliest Transaction				(Check all applicable)			
(N			(Month/Day/Year) 02/23/2011					Director 10% Owner X Officer (give title Other (specify below) Head of Intl & Global Equities			
				4. If Amendment, Date Original Filed(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Ac	equired, Disposed o	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)) Execution any	n Date, if	3. Transactic Code (Instr. 8)	Disposed (Instr. 3,	l (A) o l of (D 4 and (A) or)) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A	02/22/2011			Code V			Price		D		
common stock	02/23/2011			А	670 <u>(1)</u>	A	\$0	690,440	D		
Class A common stock	05/25/2011			А	745 <u>(1)</u>	A	\$0	691,185	D		
Class A common stock								4,405,213	I	By Younes Family Trusts (2)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	of Derivative Securities Acquired (A) or		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans
					Disposed of (D)						(Instr
					(Instr. 3,						Ì
					4, and 5)						
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships						
	Director	10% Owner	Officer	Other				
Younes Rudolph-Riad C/O ARTIO GLOBAL INVESTORS INC. 330 MADISON AVENUE NEW YORK, NY 10017			Head of Intl & Global Equities					
Signatures								
/s/ Rachel Braverman, as Agent	06/01/2011							

**Signature of Reporting Person

Date

Explanation of Responses:

be employed by the Issuer through such lapse date.

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents dividend equivalents with respect to restricted stock units, each of which represents the right to receive one share of Class A common stock of Artio Global Investors Inc. (the "Issuer") upon the lapse of restrictions. These restrictions will lapse as to the total (1) award on the third anniversary of the date of grant, provided that specified performance goals are achieved and Mr. Younes continues to

(2) Held by Younes Family Trusts, grantor retained annuity trusts for which Mr. Younes serves as settlor and trustee.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.