Loeb Daniel S Form 4 June 26, 2018

## **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

subject to Section 16. Form 4 or

**SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

Green Brick Partners, Inc. [GRBK]

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Loeb Daniel S

Symbol

(Check all applicable)

(First) (Last)

3. Date of Earliest Transaction

Director

10% Owner

390 PARK AVENUE,

(Month/Day/Year)

Officer (give title

06/26/2018

below)

Other (specify

(Street)

(Middle)

(Zip)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line) Form filed by One Reporting Person

Filed(Month/Day/Year)

\_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Price

NEW YORK, NY 10022

1.Title of	2. Transaction Date	2A. Deemed
Security	(Month/Day/Year)	Execution Date, if
(Instr. 3)		any
		(Month/Day/Year)

(State)

4. Securities Acquired (A) or TransactionDisposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

(A)

or

(D)

5. Amount of Securities Beneficially Owned Following

7. Nature of Ownership Indirect Form: Beneficial Direct (D) Ownership or Indirect (Instr. 4)

Code V Amount

Reported Transaction(s) (Instr. 4)

(Instr. 3 and 4)

Common

(City)

Stock, par value \$0.01 per share

128,245 D

("Common Stock")

Common 06/26/2018 Stock

7,140,233  $S^{(3)}$ (3)

D 9.0725 942,789 (3)

See Ι **Footnotes** (1)(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not **SEC 1474** (9-02)

## Edgar Filing: Loeb Daniel S - Form 4

required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	3 and 4)		Owne
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amaunt		
						Date	Expiration				
						Exercisable	Date				
				Code V	(A) (D)						
				Code V	4, and 5)		•	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Loeb Daniel S 390 PARK AVENUE NEW YORK, NY 10022		X				
Third Point LLC 390 PARK AVENUE NEW YORK, NY 10022		X				
THIRD POINT PARTNERS LP C/O THIRD POINT LLC 390 PARK AVENUE NEW YORK, NY 10022		X				
THIRD POINT PARTNERS QUALIFIED L P C/O THIRD POINT LLC 390 PARK AVENUE NEW YORK, NY 10022		X				
Third Point Offshore Master Fund, L.P. C/O THIRD POINT LLC 390 PARK AVENUE NEW YORK, NY 10022		X				
Third Point Ultra Master Fund L.P. C/O THIRD POINT LLC 390 PARK AVENUE		X				

Reporting Owners 2

#### NEW YORK, NY 10022

Third Point Reinsurance Co Ltd.

C/O THIRD POINT LLC 390 PARK AVENUE

NEW YORK, NY 10022

Third Point Reinsurance Ltd.

C/O THIRD POINT, LLC 390 PARK AVENUE

NEW YORK, NY 10022

Third Point Reinsurance (USA) Ltd.

C/O THIRD POINT LLC

390 PARK AVENUE

NEW YORK, NY 10022

## **Signatures**

/s/ William Song, as attorney-in-fact for Daniel S. Loeb	06/26/2018
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X

\*\*Signature of Reporting Person Date

Third Point LLC, By: Daniel S. Loeb, Chief Executive Officer, By: /s/ William Song,
Attorney-in-Fact

06/26/2018

\*\*Signature of Reporting Person Date

Third Point Partners L.P., By: Third Point Advisors LLC, its General Partner, By: Daniel S. Loeb, Managing Member; By: /s/ William Song, Attorney-in-Fact

\*\*Signature of Reporting Person Date

Third Point Partners Qualified L.P., By: Third Point Advisors LLC, its General Partner, By:
Daniel S. Loeb, Managing Member, By: /s/ William Song, Attorney-in-Fact

\*\*Signature of Reporting Person Date

Third Point Offshore Master Fund L.P., By: Third Point Advisors II LLC, its General Partner, By: Daniel S. Loeb, Managing Member, By: /s/ William Song, Attorney-in-Fact

\*\*Signature of Reporting Person Date

Third Point Ultra Master Fund L.P., By: Third Point Advisors II LLC, its General Partner, By: Daniel S. Loeb, Managing Member, By: /s/ William Song, Attorney-in-Fact

\*\*Signature of Reporting Person Date

Third Point Reinsurance Company Ltd., By: /s/ William Song, Attorney-in-Fact 06/26/2018

\*\*Signature of Reporting Person

Third Point Reinsurance Ltd., By: /s/ William Song, Attorney-in-Fact 06/26/2018

\*\*Signature of Reporting Person Date

Date

Third Point Reinsurance (USA) Ltd., By: /s/ William Song, Attorney-in-Fact 06/26/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

**(1)** 

Signatures 3

### Edgar Filing: Loeb Daniel S - Form 4

The securities disclosed in this Form 4 are owned by (i) certain funds (the "Funds") managed by Third Point LLC ("Third Point") and by (ii) Third Point Reinsurance Company Ltd. ("Third Point Re") and Third Point Reinsurance (USA) Ltd. ("Third Point Re US"), with respect to which Third Point LLC is the investment manager. Daniel S. Loeb is the Chief Executive Officer of Third Point. By reason of the provisions of Rule 16a-1 under the Securities Exchange Act of 1934, as amended (the "Act"), Third Point and Mr. Loeb may be deemed to be the beneficial owners of the securities beneficially owned by the Funds and by Third Point Re and Third Point Re US.

Third Point and Mr. Loeb each disclaims beneficial ownership of these securities except to the extent of any indirect pecuniary interest therein, and this report shall not be deemed to be an admission that Third Point or Mr. Loeb is the beneficial owner of these securities for purposes of Section 16 of the Act, and the rules promulgated thereunder or for any other purpose. Each of Third Point Partners L.P.

- (2) ("Third Point LP"), Third Point Partners Qualified L.P. ("Third Point Qualified"), Third Point Offshore Master Fund L.P. ("Third Point Offshore"), Third Point Re uS hereby disclaims beneficial ownership of any securities reported herein other than those which it directly holds, for purposes of Section 16 of the Act and the rules promulgated thereunder or for any other purpose.
- (3) The shares were sold by each of the Funds pursuant to an underwritten public offering, which closed on June 26, 2018.
- (4) The selling price of such shares was \$9.0725, which represents the price to the public less the underwriting discounts and commissions.

#### **Remarks:**

List of Exhibits: Exhibit 99.1 - Joint Filer Information

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.