

ALARIO RICHARD J

Form 4

May 02, 2018

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ALARIO RICHARD J

2. Issuer Name **and** Ticker or Trading  
Symbol  
KIRBY CORP [KEX]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

3103 NEWBERRY LANE

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/30/2018

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

(Street)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)

SHREVEPORT, LA 71106

☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$.10 per share	04/30/2018		M	10,000	A \$ 56.26	22,627	D
Common Stock, par value \$.10 per share	04/30/2018		M	6,000	A \$ 62.48	28,627	D
Common Stock, par value \$.10 per share	04/30/2018		M	1,153	A \$ 62.48	29,780	D

# Edgar Filing: ALARIO RICHARD J - Form 4

Common Stock, par value \$.10 per share	04/30/2018	S	17,153	D	\$ 85.9881 <u>(1)</u>	12,627	D
Common Stock, par value \$.10 per share	04/30/2018	A	2,357	A	\$ 0	14,984	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Director Stock Option (Right to Buy)	\$ 56.26	04/30/2018		M		10,000		05/27/2011	05/27/2021	Common Stock	10,000
Director Stock Option (Right to Buy)	\$ 62.48	04/30/2018		M		6,000		10/24/2012	04/24/2022	Common Stock	6,000
Director Stock Option (Right to Buy)	\$ 62.48	04/30/2018		M		1,153		03/31/2013 <sup>(2)</sup>	04/24/2022	Common Stock	1,153

## Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

## Edgar Filing: ALARIO RICHARD J - Form 4

Director   10% Owner   Officer   Other

ALARIO RICHARD J  
3103 NEWBERRY LANE      X  
SHREVEPORT, LA 71106

## Signatures

Ronald A Dragg, Agent and  
Attorney-in-Fact      05/02/2018

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported price is the weighted average sales price. The sales were at prices ranging from \$83.375 to \$87.00 per share. The reporting person will provide, upon request by the commission staff, full information regarding the number of shares sold at each separate price.
- (2) Option was exercisable 25% after the quarter ending 6/30/2012, 50% after 9/30/2012, 75% after 12/31/2012 and 100% at 3/31/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.  
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.