Asher Andrew Lynn Form 4 March 05, 2018

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

burden hours per 0.5 response...

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Estimated average

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

	•										
1. Name and Address of Reporting Person * Asher Andrew Lynn			2. Issuer Name and Ticker or Trading Symbol WELLCARE HEALTH PLANS, INC. [WCG]				_	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Mont				Date of Earliest Transaction  Month/Day/Year)  3/01/2018				Director 10% Owner X Officer (give title Other (specify below) EVP, Chief Financial Officer			
TAMPA, F	(Street) L 33634	endment, Date Original onth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person					
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)	Date 2A. Deemed		3. 4. Securities Acquired f Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) r) (Instr. 8)  (A) or			cquired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect	
Common Stock				Code V	Amount	(D)	Price	2,000	I	By Trust	
Common Stock	03/01/2018			A(1)	8,619	A	\$ 0	26,163	D		
Common Stock	03/01/2018			F	3,392 (2)	D	\$ 193.51	22,771	D		
Common Stock	03/01/2018			M(3)	991	A	\$ 0	23,762	D		
	03/01/2018			F	248 (4)	D		23,514	D		

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Common Stock					\$ 193.51		
Common Stock	02/01/2018	M(5)	1,088	A	\$ 0	24,602	D
Common Stock	03/01/2018	F	429 (6)	D	\$ 193.51	24,173	D
Common Stock	03/01/2018	M <u>(7)</u>	775	A	\$ 0	24,948	D
Common Stock	03/01/2018	F	305 (8)	D	\$ 193.51	24,643	D
Common Stock	01/01/2018	M(9)	4,218	A	\$ 0	28,861	D
Common Stock	03/01/2018	F	1,034 (10)	D	\$ 193.51	27,827	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number conf Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. P. Derri Secti (Ins
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(11)	03/01/2018		M	991	(12)	<u>(12)</u>	Common Stock	991	;
Restricted Stock Units	(11)	03/01/2018		M	1,088	(13)	<u>(13)</u>	Common Stock	1,088	;
Restricted Stock Units	(11)	03/01/2018		M	775	(14)	(14)	Common Stock	775	

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Market

Stock (15) 03/01/2018 M 4,218 (16) Common Stock 4,218

Units

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Asher Andrew Lynn C/O WELLCARE HEALTH PLANS, INC. 8735 HENDERSON ROAD TAMPA, FL 33634

EVP, Chief Financial Officer

## **Signatures**

/s/ Michael Haber,

Attorney-in-fact 03/05/2018

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Settlement of performance stock units that were granted on April 6, 2015 and vested on March 1, 2018 based upon the achievement of performance goals for the three-year performance period ending December 31, 2017.
- (2) Forfeiture of shares to satisfy tax obligations related to performance stock units that were granted to the Reporting Person on April 6, 2015 and vested on March 1, 2018.
- (3) Vesting of restricted stock units granted to the Reporting Person on April 6, 2015.
- (4) Forfeiture of shares to satisfy tax obligations related to restricted stock units that were granted to the Reporting Person on April 6, 2015 and vested on March 1, 2018.
- (5) Vesting of restricted stock units granted to the Reporting Person on March 28, 2016.
- Forfeiture of shares to satisfy tax obligations related to restricted stock units that were granted to the Reporting Person on March 28, 2016 and vested on March 1, 2018.
- (7) Vesting of restricted stock units granted to the Reporting Person on March 22, 2017.
- (8) Forfeiture of shares to satisfy tax obligations related to restricted stock units that were granted to the Reporting Person on March 22, 2017 and vested on March 1, 2018.
- (9) Settlement of market stock units that were granted on April 6, 2015 and vested on March 1, 2018.
- (10) Forfeiture of shares to satisfy tax obligations related to market stock units that were granted to the Reporting Person on April 6, 2015 and vested on March 1, 2018.
- (11) Each restricted stock unit represents a contingent right to receive one share of WCG common stock.
- (12) The award of restricted stock units vests in approximately equal increments on March 1, 2016, March 1, 2017 and March 1, 2018. Vested shares will be delivered to the Reporting Person upon vest.
- (13) The award of restricted stock units vests in approximately equal increments on March 1, 2017, March 1, 2018 and March 1, 2019. Vested shares will be delivered to the Reporting Person upon vest.
- (14) The award of restricted stock units vests in approximately equal increments on March 1, 2018, March 1, 2019 and March 1, 2020. Vested shares will be delivered to the Reporting Person upon vest.
- (15) Each market stock unit represents a contingent right to receive up to two shares of WCG common stock based on the average closing price for the last thirty trading days in 2017 compared to the last thirty trading days in 2014.

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(16) The market stock units vested on March 1, 2018. Vested shares will be delivered to the Reporting Person upon vest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.