CHARTER COMMUNICATIONS, INC. /MO/

Form 4 May 17, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

SECURITIES

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ADVANCE/NEWHOUSE

PARTNERSHIP

Security

(Instr. 3)

2. Issuer Name and Ticker or Trading

Symbol

CHARTER COMMUNICATIONS. INC. /MO/ [CHTR]

(Last) (First) (Middle)

(Zip)

(Month/Day/Year)

6350 COURT STREET,

(Street)

3. Date of Earliest Transaction

05/16/2017

4. If Amendment, Date Original

Filed(Month/Day/Year)

X Form filed by More than One Reporting Person

4. Securities

EAST SYRACUSE, NY 13057-1211 (State)

(Month/Day/Year)

(City) 2. Transaction Date 2A. Deemed 1.Title of

> Execution Date, if TransactionAcquired (A) or Code

3.

Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)

(A)

or Code V Amount (D) Price

5. Amount of Securities Beneficially Owned

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

X Director

Applicable Line)

Officer (give title

Following Reported Transaction(s)

(Instr. 3 and 4)

Form: Direct (I) (Instr. 4)

6. Ownership

7. Nature of Indirect (D) or Indirect Beneficial Ownership

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

Form filed by One Reporting Person

(Check all applicable)

X__ 10% Owner Other (specify

Estimated average

burden hours per

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Security (Instr. 3)

Conversion or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amo Underlying Secu (Instr. 3 and 4)

Edgar Filing: CHARTER COMMUNICATIONS, INC. /MO/ - Form 4

| Security | | | | (Instr. 3, 4, and 5) | | | | | |
|---|-----|------------|------|----------------------|----------------|-----|---------------------|--------------------|--------------------------------------|
| | | | Code | V | (A) | (D) | Date Exercisable | Expiration Date | Title |
| Class B Common Units of Charter Communications Holdings, LLC | (1) | 05/16/2017 | D | | 285,069 (2) | | 05/18/2016 | <u>(1)</u> | Charter Communicat Class A Com |

(Month/Day/Year) (Instr. 8) Acquired (A) or

Disposed of (D)

Reporting Owners

Price of

Derivative

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|
| toporting of their radios | Director | 10% Owner | Officer | Other | | | |
| ADVANCE/NEWHOUSE PARTNERSHIP 6350 COURT STREET EAST SYRACUSE, NY 13057-1211 | X | X | | | | | |
| ADVANCE PUBLICATIONS, INC 950 FINGERBOARD ROAD STATEN ISLAND, NY 10305 | X | X | | | | | |
| NEWHOUSE FAMILY HOLDINGS, L.P. ONE WORLD TRADE CENTER NEW YORK, NY 10007 | X | X | | | | | |
| ADVANCE LONG-TERM MANAGEMENT TRUST C/O ROBINSON MILLER LLC ONE NEWARK CENTER, 19TH FLOOR NEWARK, NJ 07102 | X | X | | | | | |
| NEWHOUSE BROADCASTING CORP 6350 COURT STREET EAST SYRACUSE, NY 13057-1211 | X | X | | | | | |

Signatures

| Advance/Newhouse Partnership, By: /s/ Michael A. Newhouse, Vice President | | | | | |
|--|------------|--|--|--|--|
| **Signature of Reporting Person | Date | | | | |
| Advance Publications, Inc., By: /s/ Michael A. Newhouse, Co-President | | | | | |
| **Signature of Reporting Person | Date | | | | |
| Advance Long-Term Management Trust, By: /s/ Michael A. Newhouse, Trustee | | | | | |
| **Signature of Reporting Person | Date | | | | |
| Newhouse Broadcasting Corporation, By: /s/ Michael A. Newhouse, Executive Vice President | | | | | |
| **Signature of Reporting Person | Date | | | | |
| | 05/17/2017 | | | | |

Reporting Owners 2

Edgar Filing: CHARTER COMMUNICATIONS, INC. /MO/ - Form 4

Newhouse Family Holdings, L.P., By: Advance Long-Term Management Trust, as General Partner, By: /s/ Michael A. Newhouse, Trustee

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - The Class B Common Units of Charter Communications Holdings, LLC ("Charter Holdings") are exchangeable by Advance/Newhouse Partnership, a New York partnership ("A/N") at any time into either, at the Issuer's option, (i) shares of Class A Common Stock of the
- (1) Issuer on a one-for-one basis or (ii) an amount of cash based on the volume-weighted average price of the Class A Common Stock for the two consecutive trading days prior to the date of delivery of A/N's Exchange Notice (as such term is defined under and pursuant to that certain exchange agreement, dated as of May 18, 2016, between, among others, the Issuer, Charter Holdings and A/N) per Class B Common Unit exchanged and have no expiration date.
- (2) Sold to the Issuer in an exempt transaction pursuant to Rule 16b-3 under the Securities Exchange Act of 1934, as amended.
- (3) Represents the Average Public Per Share Repurchase Price (as such term is defined in Annex A to that certain letter agreement, dated as of December 23, 2106, between the Issuer, Charter Holdings and A/N).

Remarks:

Each of Newhouse Broadcasting Corporation, Advance Publications, Inc., Newhouse Family Holdings, L.P. and Advance Lor Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3