Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC IN Form 4 January 11,	DUSTRIES INC						
FORM	ЛЛ					-	PPROVAL
Check t if no lor subject Section Form 4 Form 5	his box nger STATEN to STATEN 16. or	MENT OF C	ECURITIES A Washington CHANGES IN SECUI tion 16(a) of th	OMB Number: Expires: Estimated burden hou response	urs per		
obligati may con <i>See</i> Inst 1(b). (Print or Type	ons Section 17(ruction	(a) of the Pub	olic Utility Hol	ding Company Act t Company Act of 1	of 1935 or Sectio	n	
1. Name and Address of Reporting Person <u>*</u> Cumming Lawrence R			mbol	d Ticker or Trading TRIES INC [ASTE	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)		
(Last) (First) (Middle) 3417 LAKESIDE DR			Date of Earliest T Ionth/Day/Year) /08/2016	ransaction	Director 10% Owner X Officer (give title Other (specify below) President-Peterson Pacific		
	(Street)		If Amendment, D ed(Month/Day/Yea	-	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person		
EUGENE,	OR 97401				Form filed by M Person	Aore than One R	eporting
(City)	(State)	(Zip)	Table I - Non-l	Derivative Securities A	cquired, Disposed of	f, or Beneficia	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution Date any	Code	4. Securities mAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) Price	SecuritiesFBeneficially(Owned(5. Ownership Form: Direct D) or Indirect I) Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Reminder: Re	port on a separate line	e for each class o	of securities bene	information cont required to resp	or indirectly. spond to the collec ained in this form ond unless the form ntly valid OMB con	are not m	SEC 1474 (9-02)

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: ASTEC INDUSTRIES INC - Form 4

(Instr. 3)	Price of Derivative Security	Derivative		(Instr.	tr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)						
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(1)</u>	01/08/2016		А		122.2082 (1)		<u>(1)</u>	(1)	Common Stock	122.2082 (1)

Reporting Owners

Reporting Owner Name / Address			Relationships					
	Director	10% Owner	Officer	Other				
Cumming Lawrence R 3417 LAKESIDE DR EUGENE, OR 97401			President-Peterson Pacific					
Signatures								
Robert Taylor, attorney in fact Cumming	01/11/2016							
<u>**</u> Signature of Reporting	ng Person		Date					

**Signature of Reporting Person

Explanation of Responses:

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Transaction represents the Company's quarterly contribution to the reporting person's SERP account that was used to purchase Astec (1) Industries, Inc. stock on the open market. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.