INTREXON CORP

Form 4

December 07, 2015

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

3235-0287 Number: Expires:

**OMB APPROVAL** 

January 31, 2005

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* KIRK RANDAL J

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

INTREXON CORP [XON]

(First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

12/07/2015

\_X\_\_ 10% Owner \_X\_ Director X\_ Officer (give title \_\_ Other (specify

(Check all applicable)

C/O THIRD SECURITY, LLC, 1881 **GROVE AVENUE** 

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

below) Chief Executive Officer 6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

RADFORD, VA 24141

(City)	(State)	(Zip) Tab	le I - Non-	Derivative S	Securit	ies Acqui	ired, Disposed of	, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securition Dispose (Instr. 3, 4	d of (D	<b>)</b> )	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/07/2015		P	237,525	A	\$ 30.69	6,483,692	I	by Kapital Joe (1)
Common Stock							4,856,971	I	by R.J. Kirk DOT
Common Stock							22,637	I	by Third Security
Common Stock							5,483,957	I	by Mascara Kaboom

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			<u>(1)</u>
Common Stock	130,075	I	by JPK 2008 (1)
Common Stock	131,030	I	by MGK 2008 (1)
Common Stock	105,204	I	by ZSK 2008 (1)
Common Stock	1,068	I	by Lotus
Common Stock	237,524	I	by Staff 2001 (1)
Common Stock	58,800	I	by Sr. Staff (1)
Common Stock	699,586	I	by JPK 2009 (2)
Common Stock	829,379	I	by MGK 2009 (2)
Common Stock	73,668	I	by ZSK 2009 (2)
Common Stock	818,461	I	by JPK 2012 (2)
Common Stock	179,199	I	by ADC 2010 (2)
Common Stock	940,426	I	by MGK 2011 (2)
Common Stock	131,081	I	by Kellie L. Banks LTT (2)
Common Stock	1,356,648	I	by Senior Staff 2008
Common Stock	1,356,648	I	by Staff 2010 (2)
Common Stock	678,323	I	by Incentive 2010 (2)
Common Stock	1,196,077	I	by NRM IV (2)
Common Stock	22,636,052	I	by NRM V (2)
Common	13,340,645	I	by NRM

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VI Stock Holdings by NRM Common VII 243,001 I Stock Holdings (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secun Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Toporting of the remove removed and	Director	10% Owner	Officer	Other			
KIRK RANDAL J C/O THIRD SECURITY, LLC 1881 GROVE AVENUE RADFORD, VA 24141	X	X	Chief Executive Officer				

# **Signatures**

/s/ Randal J. Kirk	12/07/2015		
**Signature of Reporting Person	Date		

3 Reporting Owners

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - Randal J. Kirk controls each of Kapital Joe, LLC ("Kapital Joe"), R.J. Kirk Declaration of Trust ("R.J. Kirk DOT"), Third Security, LLC ("Third Security"), Mascara Kaboom, LLC ("Mascara Kaboom"), JPK 2008, LLC ("JPK 2008"), MGK 2008, LLC ("MGK 2008"), ZSK
- (1) 2008, LLC ("ZSK 2008"), Lotus Capital (2000) Company Inc. ("Lotus"), Third Security Staff 2001 LLC ("Staff 2001"), and Third Security Senior Staff LLC ("Sr. Staff"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.
  - Randal J. Kirk controls each of JPK 2009, LLC ("JPK 2009"), MGK 2009, LLC ("MGK 2009"), ZSK 2009, LLC ("ZSK 2009"), JPK 2012, LLC ("JPK 2012"), ADC 2010, LLC ("ADC 2010"), MGK 2011, LLC ("MGK 2011"), Kellie L. Banks (2009) Long Term Trust ("Kellie L. Banks LTT"), Third Security Senior Staff 2008 LLC ("Senior Staff 2008"), Third Security Staff 2010 LLC ("Staff 2010"),
- Third Security Incentive 2010 LLC ("Incentive 2010"), New River Management IV, LP ("NRM IV"), New River Management V, LP ("NRM V"), NRM VI Holdings I, LLC ("NRM VI Holdings") and New River Management VII Holdings I, LLC ("NRM VII Holdings"). Shares held by these entities may be deemed to be beneficially owned (as defined under Rule 13d-3 promulgated under the Securities Exchange Act of 1934, as amended) by Mr. Kirk. Mr. Kirk disclaims beneficial ownership of such shares, except to the extent of any pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.