## Edgar Filing: CorEnergy Infrastructure Trust, Inc. - Form 4

CorEnergy Infrastructure Trust, Inc. Form 4 August 26, 2015

| August 26,  | 2015   |       |          |   |        |  |         |   |  |  |   |  |  |
|---|--|-------|----------|---|--------|--|---------|---|--|--|---|--|--|
| FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION   |  |       |          |   |        |  |         |   | OMB APPROVAL   |  |   |  |  |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION<br>Washington, D.C. 20549  |  |       |          |   |        |  |         |   | OMB<br>Number:   | 3235-0287  |   |  |  |
| if no lor<br>subject<br>Section   | F CHANGES IN BENEFICIAL OWNERSHIP OF<br>SECURITIES |       |          |   |        |  |         | Expires: January 31,<br>2005<br>Estimated average<br>burden hours per |  |  |   |  |  |
| Form 4 or   |  |       |          |   |        |  |         |   | response   | 0.5  |   |  |  |
| Form 5<br>obligations<br>may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,<br>Section 17(a) of the Public Utility Holding Company Act of 1935 or Section<br>30(h) of the Investment Company Act of 1940See Instruction<br>1(b).30(h) of the Investment Company Act of 1940 |  |       |          |   |        |  |         |   |  |  |   |  |  |
| (Print or Type  | Responses)   |       |          |   |        |  |         |   |  |  |   |  |  |
| CICCOTELLO CONRAD S Symbol  |  |       |          | er Name <b>an</b>                                 | d T    | icker or Tra                                 | ding    |   | 5. Relationship of Reporting Person(s) to Issuer   |  |   |  |  |
|   |  |       |          | <b></b>   | str    | ucture Tr                                    | ust, Iı | nc.   | (Check all applicable)   |  |   |  |  |
| (Montl  |  |       | (Month/I | . Date of Earliest Transaction<br>Month/Day/Year) |        |  |         |   | X_ Director 10% Owner<br>Officer (give title Other (specify<br>below) below)                                       |  |   |  |  |
| 1100 WALNUT, SUITE 3350 08/24/2   |  |       |          |   |        |  |         |   |  |  |   |  |  |
|   |  |       |          | f Amendment, Date Original<br>ed(Month/Day/Year)  |        |  |         |   | 6. Individual or Joint/Group Filing(Check<br>Applicable Line)  |  |   |  |  |
| KANSAS CITY, MO 64106   |  |       |          |   | _X_For |  |         |   |  | d by One Reporting Person<br>d by More than One Reporting                  |   |  |  |
| (City)  | (State)  | (Zip) | Tab      | le I - Non-                                       | Der    | vivative Sec                                 | uritie  |   | ired, Disposed of,   | or Beneficial  | ly Owned  |  |  |
| 1.Title of<br>Security<br>(Instr. 3)  | 2. Transaction Data<br>(Month/Day/Year)            |       | Date, if | 3.<br>Transactio<br>Code<br>(Instr. 8)            | om     | Securities A<br>Disposed of<br>nstr. 3, 4 an | of (D)  | red (A)<br>Price  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
| Common<br>Stock   | 08/24/2015   |       |          | P   | 1,     | ,000   | A A     | \$<br>4.94  | 10,460.048   | D  |   |  |  |

Common<br/>Stock07/01/2015A1,148.545A $\stackrel{\$}{6.53}$  $\stackrel{(1)}{2.2}$ D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

## Edgar Filing: CorEnergy Infrastructure Trust, Inc. - Form 4

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5.<br>onNumber<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) |                     | ate                | Secur | unt of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repo<br>Trans<br>(Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|--|
|   |   |   |   | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |

## **Reporting Owners**

| <b>Reporting Owner Name / Address</b>                                   | Relationships |            |         |       |  |  |  |
|---|---------------|------------|---------|-------|--|--|--|
|   | Director      | 10% Owner  | Officer | Other |  |  |  |
| CICCOTELLO CONRAD S<br>1100 WALNUT, SUITE 3350<br>KANSAS CITY, MO 64106 | Х             |            |         |       |  |  |  |
| Signatures  |               |            |         |       |  |  |  |
| /s/ Rebecca M. Sandring, attorn in fact                                 | ey            | 08/26/2015 |         |       |  |  |  |
| **Signature of Reporting Person   |               | Date       | e       |       |  |  |  |
| Evaloretion of Do   |               |            |         |       |  |  |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted Common shares pursuant to the terms of the CorEnergy Infratstructure Trust, Inc. Director Compensation Plan, as Amended.
- (2) Common shares held pursuant to the terms of the CorEnergy Infrastructure Trust, Inc. Director of Compensation Plan, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.