Edgar Filing: Cumming Lawrence R - Form 4

Cumming Lawren Form 4	ce R										
January 14, 2013											
FORM 4									OMB A	PPROVA	۱L
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									OMB Number:	er: 3235-02	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).									Expires: Estimated a burden hou response	average Irs per	ry 31, 2005 0.5
(Print or Type Respon	ses)										
1. Name and Address of Reporting Person <u>*</u> Cumming Lawrence R			2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (F	First) (1	Middle)	3. Date of Earliest Transaction				(Check an applicable)				
3417 LAKESIDE DR			(Month/Day/Year) 01/11/2013			Director 10% Owner Officer (give title Other (specify below) President-Peterson Pacific					
(Street)			4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person					
EUGENE, OR 97							Form filed by Person	/ Mor	e than One Ro	eporting	
(City) (S	state)	(Zip)	Tab	le I - Non-	Derivative	Securities A	cquired, Disposed	of, o	r Beneficia	lly Owned	d
	nsaction Date h/Day/Year)	Execution any	Date, if	Code (Instr. 8)		(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	For (D) (I)	r i r	7. Nature Indirect Beneficia Ownersh (Instr. 4)	al
Reminder: Report on a	a separate line	for each cl	ass of sec	urities bene	ficially own	ed directly	or indirectly.				
					Person inform require	ns who restation cont ation cont ed to resp ys a curre	spond to the colle ained in this forn ond unless the fo ntly valid OMB co	n aro orm	e not	EC 1474 (9-02)	

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)

Edgar Filing: Cumming Lawrence R - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A Disposed of (Instr. 3, 4, a 5)	(D)				
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(1)</u>	01/11/2013		Р	115.6931 (1)		(1)	(1)	Common Stock	115.6931

Reporting Owners

Reporting Owner Name / Address			Relationships							
	Director	10% Owner	Officer	Other						
Cumming Lawrence R 3417 LAKESIDE DR EUGENE, OR 97401			President-Peterson Pacific							
Signatures										
Robert Taylor, attorney in fact Cumming	01/14/2013									

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reported transaction represents the Company's quarterly contribution to the reporting person's SERP account that was used to acquire(1) Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.