Edgar Filing: BAKER JAMES B - Form 4

DAUED IAMES

| Form 4 | | | | | | | | | | |
|---|---|--|---|--|-------|---|--|---|----------|--|
| August 13, 20 FORM Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may conti See Instru 1(b). | 4 UNITED S s box er 5 STATEM 6. Filed purs Section 17(a | W ENT OF CHA suant to Section a) of the Public | SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 CCHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES ection 16(a) of the Securities Exchange Act of 1934, Public Utility Holding Company Act of 1935 or Section of the Investment Company Act of 1940 | | | | | OMB APPROVAL OMB 3235-0287 Number: January 31, 2005 Estimated average burden hours per response 0.5 | | |
| (Print or Type R | Responses) | | | | | | | | | |
| 1. Name and A BAKER JAN (Last) 633 CHEST 1640 | Symbol ASTE fiddle) 3. Date (Month | Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE] Date of Earliest Transaction (Month/Day/Year) 08/13/2012 | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) $\underbrace{-X}_{\text{Director}} \text{Director} = \underbrace{10\% \text{ Owner}}_{\text{Other (specify below)}}$ | | | | |
| | (Street) | | nendment, Da Ionth/Day/Year | | l | | 6. Individual or Jo Applicable Line) | | | |
| CHATTAHO | OOGA, TN 3745 | 0 | | | | | _X_ Form filed by C Form filed by M Person | Iore than One Re | | |
| (City) | (State) | (Zip) Ta | ble I - Non-D | Derivative | Secur | ities Acq | uired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, in any (Month/Day/Year | Code (Instr. 8) | 4. Securi on(A) or Di (Instr. 3, | spose | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common Stock | 08/13/2012 | | А | 269 <u>(1)</u> | . / | \$ 30.69 | 2,320 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | | ate | Secur | ınt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|---|--|--|---------------------|--------------------|-------|--|---|--|
| | | | | Code V | 4, and 5) (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| BAKER JAMES B 633 CHESTNUT STREET SUITE 1640 CHATTAHOOGA, TN 37450 | Х | | | | | | |
| Signatures | | | | | | | |
| Robert Taylor, attorney in fact Baker | 08/13/2012 | | | | | | |
| <u>**</u> Signature of Reporting Per | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Transaction represents shares issued in payment of reporting person's quarterly board retainer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.