### PATEK RICHARD A Form 4

July 10, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0287 Number:

January 31, Expires: 2005

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**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading PATEK RICHARD A Issuer Symbol ASTEC INDUSTRIES INC [ASTE] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner X\_ Officer (give title Other (specify 1725 SHEPHERD RD 07/09/2012 below) Pres-Telsmith & Grp VP Agg (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting Person

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(City)	(State) (Z	Zip) Table	I - Non-De	erivative S	ecuriti	ies Ac	quired, Disposed o	of, or Beneficia	lly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if	3. 4. Securities TransactionAcquired (A) or			5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect	
(Instr. 3)	(	any Code Disposed of (Month/Day/Year) (Instr. 8) (Instr. 3, 4 a		of (D)		Beneficially Owned	(D) or Indirect (I)	Beneficial Ownership	
		(1.20.11.1.2.1)	(A)		Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)		
Common Stock							825.1206 (3)	I	by 401(k) Plan
Common Stock							5,625 (2)	D	
Common Stock							987	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares
Phantom Stock	<u>(1)</u>	07/09/2012		A	211.4352		<u>(1)</u>	<u>(1)</u>	Common Stock	211.4
Stock Options	\$ 19.43						03/06/2006	03/06/2015	Common Stock	10,0

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PATEK RICHARD A 1725 SHEPHERD RD CHATTANOOGA, TN 37421

Pres-Telsmith & Grp VP Agg

### **Signatures**

Robert Taylor, attorney in fact for Richard A. Patek

07/10/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Reported transaction represents the Company's quarterly contribution to the reporting person's SERP account that was used to acquire
- (1) Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.
- (2) Reflects restricted stock units (RSUs) that convert to common stock on a one-for-one basis in the future.
- (3) Shares held in the reporting person's 401k account are based upon a Plan statement dated July 10, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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