## Edgar Filing: ANDERSON STEPHEN C - Form 4

ANDERSON	STEPHEN C									
Form 4 April 11, 2012	,									
							OMB A	PPROVAL		
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMIS Washington, D.C. 20549						COMMISSION	OMB Number:	3235-0287		
Check this if no longer subject to Section 16. Form 4 or Form 5 obligations may contin <i>See</i> Instruct 1(b).	Filed purst ue. Section 17(a)	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 20(b) of the Investment Company Act of 1040								
(Print or Type Re	sponses)									
1. Name and Address of Reporting Person <u>*</u> ANDERSON STEPHEN C			2. Issuer Name <b>and</b> Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]			5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Mi	ddle)	3. Date of Earliest Transaction			(Check all applicable)				
1725 SHEPHERD RD.			(Month/Day/Year) 04/10/2012			Director 10% Owner X Officer (give title Other (specify below) below) VP-Administration & Corp Sec				
CHATTANO	(Street) OGA, TN 37343			ndment, Date Original th/Day/Year)			-	erson		
						Person				
(City)	(State) (Z	Zip)	Table	e I - Non-Derivative S	Securities Ac	quired, Disposed of	f, or Beneficial	lly Owned		
	2. Transaction Date (Month/Day/Year)	Executio any	n Date, if	<ol> <li>4. Securi TransactionAcquired Code Disposed (Instr. 8) (Instr. 3,</li> <li>Code V Amount</li> </ol>	(A) or d of (D) 4 and 5) (A) or	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common Stock				Couc v Amount	(D) The	3,990 <u>(1)</u>	D			
Common Stock						416	D			
Common Stock						89.7627 <u>(2)</u>	I	by 401(k) Plan		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of iorDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(3)</u>	04/10/2012		А	235.2431 <u>(3)</u>		(3)	(3)	Common Stock	235.2431 (3)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
ANDERSON STEPHEN C 1725 SHEPHERD RD. CHATTANOOGA, TN 37343			VP-Administration & Corp Sec			
Signatures						

Robert C. Taylor, attorney in fact for Stephen C. Anderson

\*\*Signature of Reporting Person

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Reflects restricted stock units (RSUs) that convert to common stock on a one-for-one basis in the future.
- (2) Shares held in the reporting person's 401(k) account are based upon a plan statement dated April 11, 2012.

Reported transaction represents the Company's quarterly contribution to the reporting person's SERP account that was used to acquire(3) Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

04/11/2012

Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.