PATEK RICHARD A

Form 4 April 11, 2011

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Ac PATEK RIC	ting Person *	2. Issuer Symbol	Name a	and Ticker or Trading	5. Relationship of Reporting Person(s) to Issuer				
σ. · · ·	(E')	(1811)			STRIES INC [ASTE]	(Ch	neck all applicable	e)	
(Last) (First) (Middle) 1725 SHEPHERD RD			3. Date of (Month/Da 04/08/20	ay/Year	Transaction	Director 10% OwnerX_ Officer (give title Other (specify below) Pres-Telsmith & Grp VP Agg			
		4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person				
CHATTANO	OOGA, TN 3	7421					y More than One Re		
(City)	(State)	(Zip)	Table	e I - Noi	n-Derivative Securities Acq	quired, Disposed	of, or Beneficial	lly Owne	
1.Title of	2. Transaction	Date 2A. Dee	med	3.	4. Securities	5. Amount of	6. Ownership	7. Natur	

(- 3)	(1 able	I - Non-De	erivative Securities Ac	quirea, Disposea (oi, or Beneficial	ly Owned
1.Title of Security	2. Transaction Date (Month/Day/Year)		3. Transactio	4. Securities nAcquired (A) or	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect
(Instr. 3)		any	Code	Disposed of (D)	Beneficially	(D) or	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership
			Code V	(A) or Amount (D) Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Common Stock					825.1206 (3)	I	by 401(k) Plan
Common Stock					6,000 (2)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Dat	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amoun Numbe Shares	
Phantom Stock	<u>(1)</u>	04/08/2011		A	343.3498	<u>(1)</u>	<u>(1)</u>	Common Stock	343.3	
Stock Options	\$ 14.5					01/01/2003	01/01/2012	Common Stock	14,6	
Stock Options	\$ 19.43					03/06/2006	03/06/2015	Common Stock	10,0	

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

PATEK RICHARD A 1725 SHEPHERD RD CHATTANOOGA, TN 37421

Pres-Telsmith & Grp VP Agg

Signatures

Robert Taylor, attorney in fact for Richard A. Patek

04/08/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported transaction is a company quarterly contribution to reporting person's SERP account that was used to purchase Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.
- (2) Restricted Stock Units convert into common stock on a one-for-one basis at a later date.
- (3) Shares held in the reporting person's 401k account are based upon a Plan statement dated April 11, 2011.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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