## Edgar Filing: Winters David L - Form 4

Winters David Form 4	L										
January 11, 201 FORM Check this b if no longer subject to	<b>4</b> UNITE	<ul> <li>UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549</li> <li>STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES</li> <li>Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section</li> </ul>								OMB APPROVAL OMB 3235-0287 Number: January 31, Expires: 2005 Estimated average burden hours per response 0.5	
Section 16. Form 4 or Form 5 obligations may continu <i>See</i> Instructi 1(b).	e. Section 1										
(Print or Type Res	ponses)										
1. Name and Address of Reporting Person <u>*</u> Winters David L			2. Issuer Name <b>and</b> Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]					5. Relationship of Reporting Person(s) to Issuer			
(Last) (First) (Middle) 1725 SHEPHERD RD			3. Date of Earliest Transaction (Month/Day/Year) 01/10/2011				31L]	(Check all applicable) <u>X</u> Director X_ Officer (give title <u>10%</u> Owner (specify below) President-Carlson Paving			
				. If Amendment, Date Original iled(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
CHATTANOO								Person	More than One R	eporting	
	(State) 2. Transaction 1 Month/Day/Ye	ear) Execution any		3. Transactic Code (Instr. 8)	4. Securit onAcquired Disposed (Instr. 3, 4)	ies (A) o of (D	r )	quired, Disposed of 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership	•	
Common Stock				Cour V	7 mount	(D)	Thee	1,200 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)8(1)(1)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Phantom Stock	<u>(1)</u>	01/10/2011		А	10.4495	<u>(1)</u>	<u>(1)</u>	Common Stock	10.4495

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Winters David L 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-Carlson Paving				
Signatures							

Robert Taylor, attorney in fact for David Winters

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Reported transaction is a Company contribution to the reporting person's SERP account that was used to acquire Astec stock. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

01/11/2011

(2) Reported holdings are Restricted Stock Units that convert on a one for one basis into shares of common stock at a later date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.