

SHAW MICHAEL
Form 4
December 26, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2019
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SHAW MICHAEL

2. Issuer Name and Ticker or Trading Symbol
VOLT INFORMATION SCIENCES, INC. [VISI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
167 HILLCREST ROAD
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
12/21/2018

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

BERKELEY, CA 94705

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|---|
| | | | Code | V | Amount | (A) or (D) | Price | | |
| Common Stock, \$0.01 par value | 12/21/2018 | | J | | 1,398,318 (1) | D | \$ 0 3,229 (3) | I | Co-Trustee (2) |
| Common Stock, \$0.01 par value | 12/21/2018 | | J | | 419,495 (1) | A | \$ 0 1,170,367 (3) | I | Co-Trustee (2) (4) |
| Common Stock, \$0.01 par value | 12/24/2018 | | J | | 12,750 (5) | D | \$ 0 0 | I | Officer and director of corporate owner (6) |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| SHAW MICHAEL 167 HILLCREST ROAD BERKELEY, CA 94705 | | X | | |

Signatures

| | |
|---------------------------------|------------|
| Michael Shaw | 12/26/2018 |
| __Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This transaction reports the distribution of shares held by a trust. The shares held by the trust were distributed to the beneficiaries of that trust.
 - (2) The Reporting Person is a co-trustee and a beneficiary of a trust which held these shares.
 - (3) Total reported as held by Reporting Person after this transaction reflect the shares held in this trust.
 - (4) Jointly owned with Reporting Person's spouse.
 - (5) This transaction reflects the resignation of the Reporting Person as an officer and director of a corporate owner of shares.
 - (6) Reporting Person has no pecuniary interest in these shares, except as a trustee, co-trustee, officer or director.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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