

SHAW MICHAEL
Form 3
June 05, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

<p>1. Name and Address of Reporting Person *</p> <p>Â SHAW MICHAEL</p> <p>(Last) (First) (Middle)</p> <p>167 HILLCREST ROAD</p> <p>(Street)</p> <p>BERKELEY, Â CA Â 94705</p> <p>(City) (State) (Zip)</p>	<p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/03/2018</p>	<p>3. Issuer Name and Ticker or Trading Symbol</p> <p>VOLT INFORMATION SCIENCES, INC. [VISI]</p>	<p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below)</p>	<p>5. If Amendment, Date Original Filed(Month/Day/Year)</p>	<p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p>
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Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock, \$0.01 par value	119,852 ⁽¹⁾	D	Â
Common Stock, \$0.01 par value	750,872	I	Co-trustee ⁽¹⁾ ⁽⁴⁾
Common Stock, \$0.01 par value	1,052,583	I	Co-trustee ⁽²⁾ ⁽³⁾
Common Stock, \$0.01 par value	1,401,547	I	Co-trustee ⁽⁴⁾
Common Stock, \$0.01 par value	12,750	I	Officer and director of corporate owner ⁽³⁾
Common Stock, \$0.01 par value	7,650	I	Beneficiary of 401K of another ⁽⁵⁾
Common Stock, \$0.01 par value	798	I	Beneficiary of ESOP plan of another ⁽⁶⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (Right to Buy)	Â (7)	04/06/2019	Common Stock, \$0.01 par value	8,000	\$ 6.39	I	Co-trustee (4)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
SHAW MICHAEL 167 HILLCREST ROAD BERKELEY, CA 94705	Â	Â X	Â	Â

Signatures

Michael Shaw 06/05/2018
 **Signature of Date
 Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Jointly owned with Reporting Person's spouse.
- (2) Reporting Person is a co-trustee of a trust for the benefit of another.
- (3) Reporting Person disclaims any beneficial ownership of these shares. Reporting Person has no current pecuniary interest in these shares except as a co-trustee or officer or director.
- (4) Reporting Person is a co-trustee and beneficiary of this trust.
- (5) Reporting Person is the beneficiary of on-third of the holdings of a 401K of another. Upon the death of the Reporting Person's father on May 3, 2018, Reporting Person has the right to acquire these shares within 60 days.
- (6) Reporting Person is the beneficiary of one-third of the holdings of an ESOP of another. Upon the death of Reporting Person's father on May 3, 2018, Reporting Person has the right to acquire these shares within 60 days.
- (7) Option vests in 20% annual installments, on a cumulative basis, commencing 4/7/2010, one year after the date of the original grant of the option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.