

Levine Jane
Form 4
February 28, 2019

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
Levine Jane

(Last) (First) (Middle)

1334 YORK AVENUE

(Street)

NEW YORK, NY 10021

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

SOTHEBYS [BID]

3. Date of Earliest Transaction
(Month/Day/Year)

02/26/2019

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
__X__ Officer (give title below) ____ Other (specify below)

EVP, Chief Compliance Counsel

6. Individual or Joint/Group Filing(Check
Applicable Line)
__X__ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of
information contained in this form are not
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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Pr Deriv Secur
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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	(Instr. 3)	(Instr. 4)	(Instr. 5)	(Instr. 6)	(Instr. 7)	(Instr. 8)	(Instr. 9)	(Instr. 10)	(Instr. 11)	(Instr. 12)	(Instr. 13)	(Instr. 14)	(Instr. 15)	(Instr. 16)	(Instr. 17)	(Instr. 18)	(Instr. 19)	(Instr. 20)	(Instr. 21)	(Instr. 22)	(Instr. 23)	(Instr. 24)	(Instr. 25)	(Instr. 26)	(Instr. 27)	(Instr. 28)	(Instr. 29)	(Instr. 30)	(Instr. 31)	(Instr. 32)	(Instr. 33)	(Instr. 34)	(Instr. 35)	(Instr. 36)	(Instr. 37)	(Instr. 38)	(Instr. 39)	(Instr. 40)	(Instr. 41)	(Instr. 42)	(Instr. 43)	(Instr. 44)	(Instr. 45)	(Instr. 46)	(Instr. 47)	(Instr. 48)	(Instr. 49)	(Instr. 50)	(Instr. 51)	(Instr. 52)	(Instr. 53)	(Instr. 54)	(Instr. 55)	(Instr. 56)	(Instr. 57)	(Instr. 58)	(Instr. 59)	(Instr. 60)	(Instr. 61)	(Instr. 62)	(Instr. 63)	(Instr. 64)	(Instr. 65)	(Instr. 66)	(Instr. 67)	(Instr. 68)	(Instr. 69)	(Instr. 70)	(Instr. 71)	(Instr. 72)	(Instr. 73)	(Instr. 74)	(Instr. 75)	(Instr. 76)	(Instr. 77)	(Instr. 78)	(Instr. 79)	(Instr. 80)	(Instr. 81)	(Instr. 82)	(Instr. 83)	(Instr. 84)	(Instr. 85)	(Instr. 86)	(Instr. 87)	(Instr. 88)	(Instr. 89)	(Instr. 90)	(Instr. 91)	(Instr. 92)	(Instr. 93)	(Instr. 94)	(Instr. 95)	(Instr. 96)	(Instr. 97)	(Instr. 98)	(Instr. 99)	(Instr. 100)																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																																				
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Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
Levine Jane 1334 YORK AVENUE NEW YORK, NY 10021	EVP, Chief Compliance Counsel

Signatures

/s/ David G. Schwartz as
Attorney-In-Fact
02/28/2019

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock units convert into common stock on a one-for-one basis. Restricted stock units vest over a three year period in three equal annual increments beginning with the March 5th following the anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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