

EASTHAM KARIN  
Form 4  
January 04, 2019

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
EASTHAM KARIN

2. Issuer Name and Ticker or Trading Symbol  
ILLUMINA INC [ILMN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
5200 ILLUMINA WAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
11/06/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

SAN DIEGO, CA 92122

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)			
Common Stock	11/06/2018		G	V	184	D	\$ 0	12,655	D
Common Stock	11/27/2018		G	V	315	D	\$ 0	12,340	D
Common Stock	11/28/2018		G	V	458	D	\$ 0	11,882	D
Common Stock	12/03/2018		G	V	44	D	\$ 0	11,838	D
Common Stock	01/02/2019		M		4,000 (1)	A	\$ 71.09	15,838	D
	01/02/2019		S		333 (1)	D		15,505	D

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Common Stock					\$ 291.0292 <u>(2)</u>		
Common Stock	01/02/2019	S	566 <u>(1)</u>	D	\$ 292.4054 <u>(3)</u>	14,939	D
Common Stock	01/02/2019	S	1,202 <u>(1)</u>	D	\$ 293.6279 <u>(4)</u>	13,737	D
Common Stock	01/02/2019	S	1,068 <u>(1)</u>	D	\$ 294.7482 <u>(5)</u>	12,669	D
Common Stock	01/02/2019	S	365 <u>(1)</u>	D	\$ 295.7205 <u>(6)</u>	12,304	D
Common Stock	01/02/2019	S	466 <u>(1)</u>	D	\$ 296.5694 <u>(7)</u>	11,838	D
Common Stock	01/02/2019	S	67 <u>(1)</u>	D	\$ 291.0191 <u>(8)</u>	11,771	D
Common Stock	01/02/2019	S	134 <u>(1)</u>	D	\$ 292.2653 <u>(9)</u>	11,637	D
Common Stock	01/02/2019	S	350 <u>(1)</u>	D	\$ 293.585 <u>(10)</u>	11,287	D
Common Stock	01/02/2019	S	232 <u>(1)</u>	D	\$ 294.7325 <u>(11)</u>	11,055	D
Common Stock	01/02/2019	S	117 <u>(1)</u>	D	\$ 295.9309 <u>(12)</u>	10,938	D
Common Stock	01/02/2019	S	100 <u>(1)</u>	D	\$ 296.7756 <u>(13)</u>	10,838	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)



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- (9) Weighted average sale price representing 134 shares sold ranging from \$292.02 to \$292.77 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (10) Weighted average sale price representing 350 shares sold ranging from \$293.02 to \$294.01 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (11) Weighted average sale price representing 232 shares sold ranging from \$294.20 to \$295.08 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (12) Weighted average sale price representing 117 shares sold ranging from \$295.56 to \$296.18 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.
- (13) Weighted average sale price representing 100 shares sold ranging from \$296.64 to \$297.00 per share. Number of shares sold at each separate price will be made available to the SEC staff, the issuer, or a security holder of the issuer upon request.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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