Smith Andrea B Form 5 January 29, 2018

### FORM 5

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Number: Expires:

**OMB** 

3235-0362 January 31,

2005

1.0

no longer subject to Section 16. Form 4 or Form

Check this box if

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL

Estimated average burden hours per

5 obligations may continue.

response...

See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

Reported Form 4

30(h) of the Investment Company Act of 1940

OWNERSHIP OF SECURITIES

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer Smith Andrea B Symbol BANK OF AMERICA CORP/DE/ (Check all applicable) [BAC] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner X \_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2017 Chief Administrative Officer 100 NORTH TRYON STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

#### CHARLOTTE, NCÂ 28255

(State)

(Zip)

(City)

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

| (City)                               | (State) (                               | Zip) Table  | e I - Non-Deri                          | ivative Se                                   | curitie                       | s Acqu     | ired, Disposed o   | of, or Beneficial  | lly Owned   |
|--------------------------------------|---|---|---|--|-------------------------------|------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Secur<br>Acquire<br>Dispose<br>(Instr. 3, | d (A) (d of (E), 4 and (A) or | <b>)</b> ) | 5. Amount of<br>Securities<br>Beneficially<br>Owned at end<br>of Issuer's<br>Fiscal Year<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 03/02/2017                              | Â   | G <u>(1)</u>                            | 4,200  | D                             | \$0        | 339,771  | D  | Â   |
| Common<br>Stock                      | 10/18/2017                              | Â   | G <u>(1)</u>                            | 3,877  | D                             | \$0        | 335,894  | D  | Â   |
| Common<br>Stock                      | Â                                       | Â   | Â                                       | Â  | Â                             | Â          | 54   | I  | UTMA<br>Trust 1   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 2270** (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of | 2.          | 3. Transaction Date |                    | 4.          | 5.         | 6. Date Exerc | cisable and | 7. Titl | e and    | 8. Price of |
|-------------|-------------|---------------------|--------------------|-------------|------------|---------------|-------------|---------|----------|-------------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transaction | Number     | Expiration D  | ate         | Amou    | int of   | Derivative  |
| Security    | or Exercise |                     | any                | Code        | of         | (Month/Day/   | Year)       | Under   | lying    | Security    |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8)  | Derivative | e             |             | Secur   | ities    | (Instr. 5)  |
|             | Derivative  |                     |                    |             | Securities |               |             | (Instr. | 3 and 4) |             |
|             | Security    |                     |                    |             | Acquired   |               |             |         |          |             |
|             | •           |                     |                    |             | (A) or     |               |             |         |          |             |
|             |             |                     |                    |             | Disposed   |               |             |         |          |             |
|             |             |                     |                    |             | of (D)     |               |             |         |          |             |
|             |             |                     |                    |             | (Instr. 3, |               |             |         |          |             |
|             |             |                     |                    |             | 4, and 5)  |               |             |         |          |             |
|             |             |                     |                    |             | .,         |               |             |         |          |             |
|             |             |                     |                    |             |            |               |             |         | Amount   |             |
|             |             |                     |                    |             |            | Date          | Expiration  |         | or       |             |
|             |             |                     |                    |             |            | Exercisable   | Date        | Title   | Number   |             |
|             |             |                     |                    |             |            | Exercisable   | Date        |         | of       |             |
|             |             |                     |                    |             | (A) (D)    |               |             |         | Shares   |             |

of D

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## **Reporting Owners**

| Reporting Owner Name / Address                                  | Relationships |           |                              |       |  |  |
|---|---------------|-----------|------------------------------|-------|--|--|
| ·F. · · · · · · · · · · · · · · · · · ·                         | Director      | 10% Owner | Officer                      | Other |  |  |
| Smith Andrea B<br>100 NORTH TRYON STREET<br>CHARLOTTE, NC 28255 | Â             | Â         | Chief Administrative Officer | Â     |  |  |

## **Signatures**

Andrea B. Smith/Natalie A. Hyman POA 01/29/2018

\*\*Signature of Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) Represents a charitable gift by the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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