

MERLOTTI FRANK H JR

Form 4

November 15, 2017

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
MERLOTTI FRANK H JR

(Last) (First) (Middle)

C/O TREX COMPANY, INC., 160
EXETER DRIVE

(Street)

WINCHESTER, VA 22603-8605

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

TREX CO INC [TREX]

3. Date of Earliest Transaction
(Month/Day/Year)

11/14/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction(A) or Disposed of (D) Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	11/14/2017		M ⁽¹⁾		2,321	A \$ 7.43	12,640 D
Common Stock	11/14/2017		D		163	D \$ 106.3	12,477 D
Common Stock	11/15/2017		S ⁽²⁾		100	D \$ 105.01	12,377 D
Common Stock	11/15/2017		S ⁽²⁾		100	D \$ 105.2	12,277 D
Common Stock	11/15/2017		S ⁽²⁾		100	D \$ 105.22	12,177 D

Edgar Filing: MERLOTTI FRANK H JR - Form 4

Common Stock	11/15/2017	<u>S(2)</u>	100	D	\$ 105.28	12,077	D
Common Stock	11/15/2017	<u>S(2)</u>	100	D	\$ 105.33	11,977	D
Common Stock	11/15/2017	<u>S(2)</u>	100	D	\$ 105.37	11,877	D
Common Stock	11/15/2017	<u>S(2)</u>	100	D	\$ 105.38	11,777	D
Common Stock	11/15/2017	<u>S(2)</u>	100	D	\$ 105.46	11,677	D
Common Stock	11/15/2017	<u>S(2)</u>	400	D	\$ 105.48	11,277	D
Common Stock	11/15/2017	<u>S(2)</u>	100	D	\$ 105.5	11,177	D
Common Stock	11/15/2017	<u>S(2)</u>	258	D	\$ 105.65	10,919	D
Common Stock	11/15/2017	<u>S(2)</u>	200	D	\$ 105.73	10,719	D
Common Stock	11/15/2017	<u>S(2)</u>	99	D	\$ 105.76	10,620	D
Common Stock	11/15/2017	<u>S(2)</u>	100	D	\$ 105.77	10,520	D
Common Stock	11/15/2017	<u>S(2)</u>	100	D	\$ 105.79	10,420	D
Common Stock	11/15/2017	<u>S(2)</u>	1	D	\$ 105.8	10,419	D
Common Stock	11/15/2017	<u>S(2)</u>	99	D	\$ 105.82	10,320	D
Common Stock	11/15/2017	<u>S(2)</u>	1	D	\$ 105.85	10,319	D
Common Stock	11/15/2017	<u>G(3)</u>	V 283	D	\$ 0	10,036	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: MERLOTTI FRANK H JR - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Appreciation Right	\$ 7.43	11/14/2017		M ⁽¹⁾		2,321		07/21/2009	07/21/2019	Common Stock	2,321

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
MERLOTTI FRANK H JR C/O TREX COMPANY, INC. 160 EXETER DRIVE WINCHESTER, VA 22603-8605		X		

Signatures

/s/ William R. Gupp by power of attorney

11/15/2017

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Appreciation Rights (SARs) are being exercised pursuant to a Rule 10b5-1 Exercise plan adopted by the reporting person on February 22, 2017.
- (2) The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 Sales plan adopted by the reporting person on February 22, 2017.
- (3) Represents in-kind charitable gift of common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.