#### Edgar Filing: WATERS CORP /DE/ - Form 3

#### WATERS CORP /DE/

Form 3

February 11, 2016

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

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**OMB APPROVAL** 

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SECURITIES

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WATERS CORP /DE/ [WAT] A Harrington Michael C (Month/Day/Year) 02/10/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 34 MAPLE STREET (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person MILFORD, MAÂ 01757 (give title below) (specify below) Form filed by More than One Sr VP, Global Markets Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5)  $D^{(1)}$ Â Common Stock 7,187 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly.

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currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security		4. Conversion or Exercise	5. Ownership Form of	6. Nature of Indirect Beneficial Ownership	
			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Data Esseniashla	Expiration Date	Title	Amount or Number of	Derivative	Security:	
	Date Exercisable				Security	Direct (D)	
						or Indirect	

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				Shares		(I) (Instr. 5)	
Stock Option (Right to Buy)	12/11/2008(2)	12/11/2017	Common Stock	12,000	\$ 77.94	D	Â
Stock Option (Right to Buy)	12/09/2011(3)	12/09/2020	Common Stock	28,000	\$ 79.05	D	Â
Stock Option (Right to Buy)	12/07/2012(4)	12/07/2021	Common Stock	24,000	\$ 79.15	D	Â
Stock Option (Right to Buy)	12/11/2013(5)	12/11/2022	Common Stock	30,000	\$ 87.06	D	Â
Stock Option (Right to Buy)	12/06/2014(6)	12/06/2023	Common Stock	20,000	\$ 98.21	D	Â
Stock Option (Right to Buy)	12/11/2015(7)	12/11/2024	Common Stock	28,000	\$ 113.36	D	Â
Stock Option (Right to Buy)	12/09/2016(8)	12/09/2025	Common Stock	26,269	\$ 128.93	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
<b>Fg</b>	Director 10% Owner		Officer	Other			
Harrington Michael C 34 MAPLE STREET MILFORD Â MAÂ 01757	Â	Â	Sr VP, Global Markets	Â			

## **Signatures**

/s/ Michael C
Harrington

\*\*Signature of Reporting

Date

Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 10,182 Restricted Stock Units vest 20% per annum for a 5 year period beginning on December 6, 2014. A total of 1,919 shares of common stock representing taxes were withheld at vesting dates December 6, 2014 and December 6, 2015.
- (2) The grant of 20,000 options vested 20% per annum for a 5 year period beginning on December 11, 2008.
- (3) These options vest 20% per annum for a 5 year period beginning on December 9, 2011.
- (4) The grant of 30,000 options vest 20% per annum for a 5 year period beginning on December 7, 2012.
- (5) These options vest 20% per annum for a 5 year period beginning on December 11, 2013.
- (6) These options vest 20% per annum for a 5 year period beginning on December 6, 2014.
- (7) These options vest 20% per annum for a 5 year period beginning on December 11, 2015.
- (8) These options vest 20% per annum for a 5 year period beginning on December 9, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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