

NACCO INDUSTRIES INC  
Form 4  
January 06, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
RANKIN ALISON A

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, STE.  
220

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction  
(Month/Day/Year)

01/04/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a group

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)		
				(A) or (D)	Price				
				Code	V	Amount			
Class A Common Stock	01/04/2016		P	A	276	41.7632	4,967	I	By Trust <sup>(2)</sup>
						<u>(1)</u>			
Class A Common Stock	01/05/2016		P	A	138	43.8043	5,105	I	By Trust <sup>(2)</sup>
						<u>(1)</u>			
Class A Common Stock							2,116	I	By Assoc II <sup>(3)</sup>
Class A Common Stock							19,576	I	By Assoc

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Common Stock			II/Daughter 2 <u>(4)</u>
Class A Common Stock	2,046	I	By Trust (Daughter 2) <u>(5)</u>
Class A Common Stock	200	I	Reporting Person's spouse serves as Trustee for the benefit of Elisabeth Rankin
Class A Common Stock	17,688	I	By Assoc II/Daughter 1 <u>(4)</u>
Class A Common Stock	3,933	I	By Trust (Daughter 1) <u>(5)</u>
Class A Common Stock	200	I	Reporting Person's spouse serves as Trustee for the benefit of A. Farnham Rankin
Class A Common Stock	6	I	By Spouse (GP) <u>(6)</u>
Class A Common Stock	33,287	I	By Assoc II/Spouse <u>(7)</u>
Class A Common Stock	22,385	I	By Spouse (RA4) <u>(8)</u>
Class A Common Stock	1,975	I	By Spouse/RMI (Delaware) <u>(9)</u>
Class A Common Stock	75,461	I	By Spouse/Trust <u>(10)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control**

SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class B Common Stock	(11)					(11)	(11)	Class A Common Stock	75,504
Class B Common Stock	(11)					(11)	(11)	Class A Common Stock	5,143
Class B Common Stock	(11)					(11)	(11)	Class A Common Stock	5,143
Class B Common Stock	\$ 0 (11)					(11)	(11)	Class A Common Stock	19
Class B Common Stock	\$ 0 (11)					(11)	(11)	Class A Common Stock	62,670
Class B Common Stock	\$ 0 (11)					(11)	(11)	Class A Common Stock	118,125

