

CORNING INC /NY  
Form 3  
May 01, 2015

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0104  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

|   |         |                                      |  |  |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * |         | 2. Date of Event Requiring Statement | 3. Issuer Name <b>and</b> Ticker or Trading Symbol                         |  |
| Â Curran Martin J                         |         | (Month/Day/Year)                     | CORNING INC /NY [GLW]  |  |
| (Last)                                    | (First) | (Middle)                             | 05/01/2015   |  |
| ONE RIVERFRONT PLAZA                      |         |                                      | 4. Relationship of Reporting Person(s) to Issuer                           | 5. If Amendment, Date Original Filed(Month/Day/Year)                   |
| (Street)                                  |         |                                      | (Check all applicable)   |  |
| CORNING,Â NYÂ 14831                       |         |                                      | <input type="checkbox"/> Director <input type="checkbox"/> 10% Owner       | 6. Individual or Joint/Group Filing(Check Applicable Line)             |
| (City)                                    | (State) | (Zip)                                | <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
|   |         |                                      | (give title below) (specify below)   | <input type="checkbox"/> Form filed by More than One Reporting Person  |
|   |         |                                      | Exec. VP & Innovation Officer  |  |

**Table I - Non-Derivative Securities Beneficially Owned**

|                                 |   |  |   |
|---------------------------------|---|--|---|
| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

|  |  |   |  |   |   |
|--|--|---|--|---|---|
| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|  | Date Exercisable   | Expiration Date   | Title  | Amount or Number of Shares  |   |

Edgar Filing: CORNING INC /NY - Form 3

|                              |                           |              |              |        |               |   |   |
|------------------------------|---------------------------|--------------|--------------|--------|---------------|---|---|
| Restricted Stock Unit        | Â <u>(1)</u>              | Â <u>(1)</u> | Common Stock | 23,071 | \$ <u>(2)</u> | D | Â |
| Restricted Stock Unit        | Â <u>(3)</u>              | Â <u>(3)</u> | Common Stock | 14,747 | \$ <u>(2)</u> | D | Â |
| Restricted Stock Unit        | Â <u>(4)</u>              | Â <u>(4)</u> | Common Stock | 15,432 | \$ <u>(2)</u> | D | Â |
| Restricted Stock Unit        | Â <u>(5)</u>              | Â <u>(5)</u> | Common Stock | 5,827  | \$ <u>(2)</u> | D | Â |
| Stock Options (Right to Buy) | 12/06/2007                | 12/05/2016   | Common Stock | 18,000 | \$ 21.89      | D | Â |
| Stock Options (Right to Buy) | 12/05/2008                | 12/04/2017   | Common Stock | 18,000 | \$ 24.92      | D | Â |
| Stock Options (Right to Buy) | 02/01/2009                | 01/31/2016   | Common Stock | 11,250 | \$ 24.72      | D | Â |
| Stock Options (Right to Buy) | 01/02/2010                | 01/01/2018   | Common Stock | 9,000  | \$ 23.37      | D | Â |
| Stock Options (Right to Buy) | 02/01/2010                | 01/31/2017   | Common Stock | 9,000  | \$ 20.86      | D | Â |
| Stock Options (Right to Buy) | 02/01/2011                | 01/31/2018   | Common Stock | 9,000  | \$ 24.61      | D | Â |
| Stock Options (Right to Buy) | 02/01/2012 <sup>(6)</sup> | 02/01/2021   | Common Stock | 6,529  | \$ 22.69      | D | Â |
| Stock Options (Right to Buy) | 03/01/2012 <sup>(7)</sup> | 03/01/2021   | Common Stock | 6,725  | \$ 22.03      | D | Â |
| Stock Options (Right to Buy) | 03/01/2015                | 03/01/2022   | Common Stock | 16,063 | \$ 12.97      | D | Â |
| Stock Options (Right to Buy) | 07/18/2015                | 07/18/2022   | Common Stock | 15,133 | \$ 12.39      | D | Â |
| Stock Options (Right to Buy) | 03/28/2016                | 03/28/2023   | Common Stock | 23,220 | \$ 13.33      | D | Â |
| Stock Options (Right to Buy) | 04/30/2016                | 04/30/2023   | Common Stock | 21,346 | \$ 14.5       | D | Â |
| Stock Options (Right to Buy) | 05/31/2016                | 05/31/2023   | Common Stock | 20,138 | \$ 15.37      | D | Â |
| Stock Options (Right to Buy) | 03/31/2017                | 03/31/2024   | Common Stock | 7,805  | \$ 20.82      | D | Â |
| Stock Options (Right to Buy) | 04/30/2017                | 04/30/2024   | Common Stock | 7,771  | \$ 20.91      | D | Â |
| Stock Options (Right to Buy) | 05/30/2017                | 05/30/2024   | Common Stock | 7,629  | \$ 21.3       | D | Â |
|                              | 03/31/2018                | 03/31/2025   |              | 7,716  | \$ 22.68      | D | Â |

|                              |            |            |              |       |          |   |   |
|------------------------------|------------|------------|--------------|-------|----------|---|---|
| Stock Options (Right to Buy) |            |            | Common Stock |       |          |   |   |
| Stock Options (Right to Buy) | 04/30/2018 | 04/30/2025 | Common Stock | 8,361 | \$ 20.93 | D | Â |

## Reporting Owners

| Reporting Owner Name / Address                               | Relationships |           |                                 |       |
|--|---------------|-----------|---------------------------------|-------|
|  | Director      | 10% Owner | Officer                         | Other |
| Curran Martin J<br>ONE RIVERFRONT PLAZA<br>CORNING, NY 14831 | Â             | Â         | Â Exec. VP & Innovation Officer | Â     |

## Signatures

Linda E. Jolly, Power of Attorney 05/01/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Restricted Stock Units vest 100% on April 18, 2016. Vested shares will be delivered to the reporting person within thirty (30) days after April 18, 2016. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 18, 2016.
- (2) Each restricted stock unit represents a contingent right to receive one share of Corning Incorporated Common Stock.
- (3) The Restricted Stock Units vest 100% on April 17, 2017. Vested shares will be delivered to the reporting person within thirty (30) days after April 17, 2017. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 17, 2017.
- (4) The Restricted Stock Units vest 100% on April 16, 2018. Vested shares will be delivered to the reporting person within thirty (30) days after April 16, 2018. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to April 16, 2018.
- (5) The Restricted Stock Units vest 100% on July 18, 2015. Vested shares will be delivered to the reporting person within sixty (60) days after July 18, 2015. Events such as retirement, death, disability, and others specified in the agreement may result in vesting prior to July 18, 2015.
- (6) The options vest in three annual installments beginning on February 1, 2012.
- (7) The options vest in three annual installments beginning on March 1, 2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.