Anthem, Inc. Form 4 March 04, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** OMB

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 4 or Form 5 obligations may continue. See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Silverstein Martin B

(First)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

Anthem, Inc. [ANTM]

3. Date of Earliest Transaction (Month/Day/Year)

03/02/2015

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title

below) **EVP & Chief Strategy Officer** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

120 MONUMENT CIRCLE

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

INDIANAPOLIS, IN 46260

| (City)                               | (State)                                 | Zip) Table  | e I - Non-D        | erivative S   | ecurit           | ies Acc | quired, Disposed o                                  | of, or Beneficial                                      | lly Owned               |
|--------------------------------------|---|---|--------------------|---|------------------|---------|---|--|-------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code               | 4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I) | Indirect<br>Beneficial  |
|                                      |   | (Monul/Day/Tear)  | (Instr. 8)  Code V | Amount  | (A)<br>or<br>(D) | Price   | Following Reported Transaction(s) (Instr. 3 and 4)  | (Instr. 4)   | Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 03/02/2015                              |   | A                  | 3,829<br>(1)  | A                | \$ 0    | 9,412   | D  |                         |
| Common<br>Stock                      | 03/03/2015                              |   | A                  | 13,493<br>(2)   | A                | \$0     | 22,905  | D  |                         |
| Common<br>Stock                      | 03/03/2015                              |   | A                  | 2,918<br>(3)  | A                | \$ 0    | 25,823  | D  |                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control

#### Edgar Filing: Anthem, Inc. - Form 4

#### number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock<br>Option<br>(Right to<br>Buy)    | \$ 146.93   | 03/02/2015                              |   | A                                      | 16,762   | <u>(4)</u>   | 03/02/2025         | Common<br>Stock   | 16,762                              |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |
|--------------------------------|---------------|
| reporting owner rume, ruuress  |               |

Director 10% Owner Officer Other

Silverstein Martin B 120 MONUMENT CIRCLE INDIANAPOLIS, IN 46260

**EVP & Chief Strategy Officer** 

## **Signatures**

/s/ Kathleen S. Kiefer, Attorney in fact

03/04/2015

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents restricted stock units. Restrictions lapse as follows: 1,276 each on 3/2/16 and 3/2/17; and 1,277 on 3/2/18.
- (2) Represents performance based restricted stock units. Restrictions lapse as follows: 4,497 on 5/1/15; and 4,498 each on 5/1/16 and 5/1/17.
- (3) Represents performance based restricted stock units. Restrictions lapse as follows: 972 on 5/1/15; and 973 each on 5/1/16 and 5/1/17.
- The option, representing a right to purchase a total of 16,762 shares, is exercisable in two equal semi-annual installments of 2,793 shares each and four equal semi-annual installments of 2,794 shares each beginning on September 2, 2015, which is the six-month anniversary of the date on which the option was granted.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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