

NACCO INDUSTRIES INC
Form 5
February 13, 2014

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden hours per response... 1.0

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Form 3 Holdings Reported Form 4 Transactions Reported

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person *
TAPLIN BEATRICE B

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875 LANDERBROOK DRIVE, STE. 220

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year)
12/31/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
Member of a group

6. Individual or Joint/Group Reporting

(check applicable line)

Form Filed by One Reporting Person
 Form Filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D) Amount Price			
Class A Common Stock	12/27/2013	Â	G	430 D	\$ 0 (1) 163,843	I	BBT/Wells Fargo Trust (2)
Class A Common Stock	11/25/2013	Â	J(3)	11,200 (4) A	\$ 0 (1) 163,843	I	BBT/Wells Fargo Trust (2)
Class A Common	11/25/2013	Â	J(3)	11,200 (4) D	\$ 0 (1) 29,550	I	Taplin Annuity

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Stock									Trust#1 ⁽⁵⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	31,500	I	Shares held in Trust: 2012 Taplin Annuity Trust #2
Class A Common Stock	Â	Â	Â	Â	Â	Â	91,640	I	By Marital Trust/PNC ⁽⁶⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,250	I	By Trust/GC#5 ⁽⁷⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,250	I	By Trust/GC#4 ⁽⁷⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,250	I	By Trust/GC#1 ⁽⁷⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,250	I	By Trust/GC#2 ⁽⁷⁾
Class A Common Stock	Â	Â	Â	Â	Â	Â	5,250	I	By Trust/GC#3 ⁽⁷⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. P. Deri Secu (Inst
					(A) (D)	Date Exercisable Expiration Date	Title Amount or Number of Shares	
Class B Common Stock	\$ 0	Â	Â	Â	Â	Â ⁽¹⁾ Â ⁽¹⁾	Class A Common Stock 310,000	

