

YANCOPOULOS GEORGE
Form 4
December 14, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
YANCOPOULOS GEORGE

(Last) (First) (Middle)

777 OLD SAW MILL RIVER ROAD

(Street)

TARRYTOWN, NY 10591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
REGENERON
PHARMACEUTICALS INC
[REGN]

3. Date of Earliest Transaction (Month/Day/Year)
11/02/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President and CSO

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/02/2015		G		43,348	D	\$ 0
Common Stock	12/17/2015		G		1,921	D	\$ 0
Common Stock	12/16/2016		G		89,427	D	\$ 0
Common Stock	12/14/2017		G		89,264	D	\$ 0
	12/19/2017		G		242,150	D	\$ 0
					847	D	

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Common Stock									
Common Stock	08/21/2018	G	V	847	D	\$ 0	0	D	
Common Stock	07/21/2017	G		176,223	D	\$ 0	323,777	I	By 2016 GRAT
Common Stock	07/24/2018	G	V	299,134	D	\$ 0	24,643	I	By 2016 GRAT
Common Stock	11/01/2018	G	V	24,643	D	\$ 0	0	I	By 2016 GRAT
Common Stock	08/03/2017	G		176,223	A	\$ 0	176,223	I	By 2017 GRAT
Common Stock	08/03/2018	G	V	101,187	D	\$ 0	75,036	I	By 2017 GRAT
Common Stock	11/02/2015	G		43,348	A	\$ 0	612,936	I	By Trust
Common Stock	12/17/2015	G		1,921	A	\$ 0	569,897	I	By Trust
Common Stock	12/16/2016	G		89,427	A	\$ 0	159,324	I	By Trust
Common Stock	07/21/2017	G		176,223	A	\$ 0	331,629	I	By Trust
Common Stock	08/03/2017	G		176,223	D	\$ 0	155,406	I	By Trust
Common Stock	12/14/2017	G		89,264	A	\$ 0	244,670	I	By Trust
Common Stock	12/19/2017	G		242,150	A	\$ 0	486,820	I	By Trust
Common Stock	02/16/2018	G	V	400,000	D	\$ 0	86,820	I	By Trust
Common Stock	07/24/2018	G	V	299,134	A	\$ 0	385,954	I	By Trust
Common Stock	08/03/2018	G	V	101,187	A	\$ 0	487,141	I	By Trust
Common Stock	08/21/2018	G	V	847	A	\$ 0	487,988	I	By Trust
Common Stock	08/22/2018	G	V	3,211	D	\$ 0	484,777	I	By Trust
Common Stock	11/16/2018	G	V	1,176	D	\$ 0	483,601	I	By Trust
	02/16/2018	G	V	400,000	A	\$ 0	400,000	I	

Common Stock						By 2018 GRAT
Common Stock				5,728	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
				Code	V (A) (D)	Date Exercisable Expiration Date	Title Am Nur Sha
Non-Qualified Stock Option (right to buy)	\$ 381.4	12/12/2018		A	129,013	<u>(1)</u> 12/12/2028	Common Stock 12

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
YANCOPOULOS GEORGE 777 OLD SAW MILL RIVER ROAD TARRYTOWN, NY 10591	X		President and CSO	

Signatures

/s/ George D. Yancopoulos
12/14/2018

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock option award vests in four equal annual installments, commencing one year after the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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