Edgar Filing: MFA FINANCIAL, INC. - Form 4

| | NCIAL, INC. | | | | | | | | | | | |
|---|-------------------------|--|--|--|--|-----------|------------|---|--|---|--|--|
| Form 4 December 10 | 6, 2016 | | | | | | | | | | | |
| FORM | Л | | | | | | | | OMB AI | PPROVAL | | |
| | UNITE | UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES | | | | | | | | 3235-0287 | | |
| Check th if no long subject to Section 1 Form 4 c | ger STATI 16. | | | | | | | | | Expires:January 31, 2005Estimated averageburden hours per response0.5 | | |
| Form 5 obligatio may com <i>See</i> Instr 1(b). | ns Section 1 | Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | |
| (Print or Type] | Responses) | | | | | | | | | | | |
| Wulfsohn Bryan Sy | | | Symbol | 2. Issuer Name and Ticker or Trading Symbol MFA FINANCIAL, INC. [MFA] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Check | | | | | | | | | |
| | | | | (Month/Day/Year) 12/14/2016 | | | | Director 10% Owner X Officer (give title Other (specify below) Denoised Senior Vice President 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | |
| | (Street) | Filed(Month/Day/Year) A | | | | | | | | | | |
| NEW YOR | K, NY 10022 | | | | | | | Form filed by M Person | Iore than One Re | porting | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative S | Securi | ties Acq | uired, Disposed of | , or Beneficial | ly Owned | | |
| 1.Title of Security (Instr. 3)2. Transaction (Month/Day/*) | | saction Date 2A. Deemed //Day/Year) Execution Date, if any (Month/Day/Year) | | | 4. Securit on(A) or Dia (Instr. 3, 4 | sposed | l of (D) | Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | | |
| | | | | Code V | Amount | or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | |
| Common Stock | 12/14/2016 | | | А | 32,150 (1) | А | \$0 | 99,508 | D | | | |
| Common Stock | 12/14/2016 | | | F | 16,580 (2) | D | \$ 7.66 | 82,928 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | Secur | unt of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|-------|--|---|---|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|-----------------------|-------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Wulfsohn Bryan C/O MFA FINANCIAL, INC. 350 PARK AVENUE, 20TH FLOOR NEW YORK, NY 10022 | | | Senior Vice President | | | | |
| Signatures | | | | | | | |

/s/ Bryan Wulfsohn 12/16/2016 Date **Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares acquired by the Reporting Person were received as a portion of the Reporting Person's annual incentive compensation and are fully vested as of the date of grant. The net shares acquired (i.e., net of the surrender of a portion of the shares acquired in order to satisfy

- (1)tax obligations (as described in Note 2 below)) are subject to a three-year holding period and may not be sold or otherwise transferred prior to December 14, 2019.
- The reported disposition represents the surrender of shares to satisfy tax obligations arising from the receipt by the Reporting Person of (2) the shares described in Note 1 above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.