

WATTS WATER TECHNOLOGIES INC
Form 10-Q/A
August 12, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 10-Q/A

(Amendment No. 1)

Quarterly report pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

For the quarterly period ended July 3, 2016

or

Transition report pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

For the transition period from to

Commission file number 001-11499

WATTS WATER TECHNOLOGIES, INC.

(Exact Name of Registrant as Specified in Its Charter)

Delaware

04-2916536

Edgar Filing: WATTS WATER TECHNOLOGIES INC - Form 10-Q/A

(State or Other Jurisdiction of Incorporation or Organization)

(I.R.S. Employer Identification No.)

815 Chestnut Street, North Andover, MA
(Address of Principal Executive Offices)

01845
(Zip Code)

Registrant's Telephone Number, Including Area Code: **(978) 688-1811**

(Former Name, Former Address and Former Fiscal year, if changed since last report.)

Indicate by check mark whether the registrant (1) has filed all reports required to be filed by Section 13 or 15(d) of the Securities Exchange Act of 1934 during the preceding 12 months (or for such shorter period that the registrant was required to file such reports), and (2) has been subject to such filing requirements for the past 90 days. Yes NO

Indicate by check mark whether the registrant has submitted electronically and posted on its corporate Web site, if any, every Interactive Data File required to be submitted and posted pursuant to Rule 405 of Regulation S-T during the preceding 12 months (or for such shorter period that the registrant was required to submit and post such files). Yes NO

Indicate by check mark whether the registrant is a large accelerated filer, an accelerated filer, a non-accelerated filer, or a smaller reporting company. See the definitions of "large accelerated filer," "accelerated filer" and "smaller reporting company" in Rule 12b-2 of the Exchange Act. (Check one):

Large accelerated filer

Accelerated filer

Non-accelerated filer

Smaller reporting company

(Do not check if a smaller reporting company)

Indicate by check mark whether the registrant is a shell company (as defined in Rule 12b-2 of the Exchange Act). Yes NO

Indicate the number of shares outstanding of each of the issuer's classes of common stock, as of the latest practicable date.

| Class | Outstanding at August 8, 2016 |
|--|-------------------------------|
| Class A Common Stock, \$0.10 par value | 27,824,583 |
| Class B Common Stock, \$0.10 par value | 6,379,290 |

EXPLANATORY NOTE

Watts Water Technologies, Inc. (the Company) is filing this amendment (this Amendment) to its Quarterly Report on Form 10-Q for the quarterly period ended July 3, 2016, originally filed with the Securities and Exchange Commission (the SEC) on August 9, 2016 (the Original Form 10-Q), solely to file Exhibit 10, which was inadvertently omitted from the Original Form 10-Q. Pursuant to Rule 12b-15 under the Securities Exchange Act of 1934, as amended, this Amendment restates in its entirety Part II, Item 6 and contains new certifications pursuant to Section 302 of the Sarbanes-Oxley Act of 2002, which are filed herewith.

This Amendment should be read in conjunction with the Original Form 10-Q and the Company's other filings made with the SEC subsequent to the filing of the Original Form 10-Q. The Original Form 10-Q has not been amended or updated to reflect events occurring after August 9, 2016, except as specifically set forth in this Amendment.

Item 6. Exhibits

The exhibits listed in the Exhibit Index immediately preceding the exhibits are filed as part of this Quarterly Report on Form 10-Q/A and such Exhibit Index is incorporated herein by reference.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

WATTS WATER TECHNOLOGIES, INC.

Date: August 12, 2016

By: /s/ Todd A. Trapp
Todd A. Trapp
Chief Financial Officer (principal financial officer)

EXHIBIT INDEX

Listed and indexed below are all Exhibits filed as part of this report.

| Exhibit No. | Description |
|--------------------|--|
| 3.1 | Restated Certificate of Incorporation, as amended (1) |
| 3.2 | Amended and Restated By-Laws (2) |
| 10 | Watts Water Technologies, Inc. Executive Severance Plan as amended and restated as of August 4, 2016 |
| 31.1 | Certification of Principal Executive Officer pursuant to Rule 13a-14(a) or Rule 15d-14(a) of the Securities Exchange Act of 1934, as amended (3) |
| 31.2 | Certification of Principal Financial Officer pursuant to Rule 13a-14(a) or Rule 15d-14(a) of the Securities Exchange Act of 1934, as amended (3) |
| 31.3 | Certification of Principal Executive Officer pursuant to Rule 13a-14(a) or Rule 15d-14(a) of the Securities Exchange Act of 1934, as amended |
| 31.4 | Certification of Principal Financial Officer pursuant to Rule 13a-14(a) or Rule 15d-14(a) of the Securities Exchange Act of 1934, as amended |
| 32.1 | Certification of Principal Executive Officer pursuant to 18 U.S.C. 1350 (3) |
| 32.2 | Certification of Principal Financial Officer pursuant to 18 U.S.C. 1350 (3) |
| 101.INS | XBRL Instance Document (3) |
| 101.SCH | XBRL Taxonomy Extension Schema Document (3) |
| 101.CAL | XBRL Taxonomy Extension Calculation Linkbase Document (3) |
| 101.DEF | XBRL Taxonomy Extension Definition Linkbase Document (3) |
| 101.LAB | XBRL Taxonomy Extension Label Linkbase Document (3) |
| 101.PRE | XBRL Taxonomy Extension Presentation Linkbase Document (3) |

-
- (1) Incorporated by reference to the Registrant's Quarterly Report on Form 10-Q (File No. 001-11499) for the quarter ended July 3, 2005.
 - (2) Incorporated by reference to the Registrant's Current Report on Form 8-K (File No. 001-11499) dated July 27, 2015.
 - (3) Filed or furnished with the Registrant's original Quarterly Report on Form 10-Q filed with the Securities and Exchange Commission on August 9, 2016.