Zoe's Kitchen, Inc. Form 4 April 16, 2014

## FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response...

Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **Besch James** 

(Zip)

(Last) (First) (Middle)

C/O ZOES KITCHEN, 5700 GRANITE PARKWAY, GRANITE

PARK BUILDING #2, SUITE 455

(Street)

(State)

Symbol Zoe's Kitchen, Inc. [ZOES]

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction (Month/Day/Year)

04/16/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify

> below) Controller

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

**PLANO, TX 75024** 

(City)

Stock

1.Title of Security	2. Transaction Date (Month/Day/Year)	2A. Deemed 3. 4. Securities Execution Date, if TransactionAcquired (A) or		or	5. Amount of Securities	6. Ownership Form: Direct	7. Nature of Indirect		
(Instr. 3)	•	any	Code	Disposed of (D)		Beneficially	(D) or	Beneficial	
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	Indirect (I)	Ownership
			Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Common Stock							9,893	D	
Common	04/16/2014		P(1)	6,666	A	\$ 15	16,559	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exerc Expiration D (Month/Day/	ate	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 15	04/16/2014		A	17,500	(2)	04/16/2024	Common Stock	17,500

## **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Maine / Address	

Director 10% Owner Officer Other

**Besch James** 

C/O ZOES KITCHEN, 5700 GRANITE PARKWAY GRANITE PARK BUILDING #2, SUITE 455 PLANO, TX 75024

Controller

## **Signatures**

/s/ Jason Morgan as Attorney-in-Fact for James Besch

04/16/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares were purchased through a Directed Share Program in connection with Zoe's Kitchen, Inc.'s Initial Public Offering.
- (2) 10,000 shares under the option will vest immediately on April 16, 2014 and the remainder of which will vest in four equal annual installments on April 16, 2015, 2016, 2017 and 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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