COPELAND BRAD F

Form 4 April 30, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES obligations

may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

SUITE 1200

1. Name and Address of Reporting Person * COPELAND BRAD F

(First)

2. Issuer Name and Ticker or Trading

Symbol

UMPQUA HOLDINGS CORP [UMPQ]

3. Date of Earliest Transaction

(Month/Day/Year) 04/30/2013

ONE SW COLUMBIA STREET,

(Middle)

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

OMB APPROVAL

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify

below)

Sr EVP/Chief Operating Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

PORTLAND, OR 97258

(City)	(State) (S	Zip) Table	e I - Non-D	erivative S	Securit	ies Acq	quired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired n(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	Transaction(s) (Instr. 3 and 4)		
Common Stock	04/30/2013		D	4,847 (1)	D	\$ 12	104,254	D	
Common Stock	04/30/2013		D	6,219 (1)	D	\$ 12	98,035	D	
Common Stock	04/30/2013		D	8,100 (2)	D	\$0	89,935	D	
Common Stock	04/30/2013		D	4,889 (2)	D	\$0	85,046	D	
Common Stock	04/30/2013		D	16,665 (2)	D	\$0	68,381	D	

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Common Stock	04/30/2013	J	37	A	<u>(3)</u>	4,598 <u>(4)</u>	I	by 401(k)
Common Stock						1,805	I	by IRA
Common Stock						244	I	by Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	FransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying So (Instr. 3 and 4
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Non-Qualified Stock Option (right to buy)	\$ 9.23	04/30/2013		J		5,000 (2)	02/02/2010(5)	02/01/2019	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 10.97	04/30/2013		J		22,000 (2)	01/31/2014(6)	01/30/2021	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 11.59	04/30/2013		J		4,000 (2)	07/21/2009(7)	07/20/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 12.87	04/30/2013		J		30,000 (2)	08/02/2011 <u>(8)</u>	08/01/2020	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 15.5						01/28/2009(9)	01/27/2018	Common Stock
Non-Qualified Stock Option (right to buy)	\$ 19.01						09/30/2004(9)	09/30/2013	Common Stock
•	\$ 23.49						01/20/2006(9)	01/20/2015	

Non-Qualified Stock Option (right to buy) Common Stock

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

COPELAND BRAD F ONE SW COLUMBIA STREET, SUITE 1200 PORTLAND, OR 97258

Sr EVP/Chief Operating Officer

Signatures

By: Steven L. Philpott, Attorney in Fact For: Brad Copeland

04/30/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Payment of tax liability by delivering or withholding securities incident to the receipt, exercise or vesting of a security issued in accordance with Rule 16b-3.
- (2) Unvested shares that are forfeited or canceled upon retirement.
- (3) Not required.
- (4) Holdings reported include shares acquired in the 401(k)/Profit Sharing plan through dividend reinvestment, payroll deferrals and/or employer contributions.
- (5) Option granted 2/2/09. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- Option granted 1/31/2011. The options vest three years following the grant date to the extent that the issuer's total shareholder return achieves specified targets as compared with the KRXTR regional bank stock index.
- (7) Option granted 7/21/08. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- (8) Option granted 8/2/2010. Beginning on the first anniversary of the grant date, the options vest 20% per year for five years.
- (9) All options are fully vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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