

CITY OF LONDON INVESTMENT GROUP PLC  
 Form 4  
 February 15, 2019

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 CITY OF LONDON INVESTMENT GROUP PLC

(Last) (First) (Middle)

77 GRACECHURCH STREET

(Street)

LONDON, X0 EC3V0AS

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
 CHINA FUND INC [CHN]

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 02/14/2019

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_X\_\_\_ 10% Owner  
 \_\_\_ Officer (give title below) \_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 \_\_\_ Form filed by One Reporting Person  
 \_\_\_X\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S		125,738	D	\$ 20.61
					183,119	I	
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S		47,454	D	\$ 20.61
					69,109	I	
Common	02/14/2019	02/14/2019	S		101,789	D	\$
					148,241	I	

By:  
 Emerging Markets Country Fund (2)

By:  
 Emerging Markets Free Fund (3)

By:

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Stock, par value \$.01 per share <u>(1)</u>						20.61			Emerging Markets Global Fund <u>(4)</u>
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	70,034	D	\$ 20.61	101,995	I	By: Emerging Markets Investable Fund <u>(5)</u>
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	166,544	D	\$ 20.61	242,546	I	By: Global Emerging Markets Fund <u>(6)</u>
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	68,421	D	\$ 20.61	99,646	I	By: The Emerging World Fund <u>(7)</u>
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	81,392	D	\$ 20.61	118,535	I	By: Emerging Free Markets Country Fund <u>(8)</u>
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	124,185	D	\$ 20.61	180,856	I	By: Emerging Markets Country Fund <u>(9)</u>
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	18,994	D	\$ 20.61	27,664	I	By: Investable Emerging Markets Country Fund <u>(10)</u>
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	17,137	D	\$ 20.61	24,959	I	By: The EM Plus CEF Fund <u>(11)</u>
Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	4,737	D	\$ 20.61	6,900	I	By: The EM Special Situations CEF Focused Fund <u>(12)</u>

Common Stock, par value \$.01 per share <u>(1)</u>	02/14/2019	02/14/2019	S	938,677	D	\$ 20.61	1,367,039	I	By: unaffiliated third-party segregated accounts <u>(13)</u>
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
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## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON, X0 EC3V0AS		X		
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON, X0 EC3V0AS		X		

## Signatures

/s/ Thomas W. Griffith, Director - City of London Group PLC	02/15/2019
<u>**Signature of Reporting Person</u>	Date
/s/ Thomas W. Griffith, Director - City of London Investment Management Company Limited	02/15/2019
<u>**Signature of Reporting Person</u>	Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This Form 4 is filed jointly by City of London Investment Group, PLC ("CLIG") and City of London Investment Management Company Limited ("CLIM") (collectively, the "Reporting Persons"). Each of the Reporting Persons is a member of a Section 13(d) group that

- (1) collectively owns more than 10% of the Issuer's outstanding shares of Common Stock. Each of the Reporting Persons disclaims beneficial ownership of the securities reported herein except to the extent of his or its pecuniary interest therein. No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of the Issuer.
- (2) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (3) These securities are beneficially owned by Emerging Markets Free Fund.
- (4) These securities are beneficially owned by Emerging Markets Global Fund.
- (5) These securities are beneficially owned by Emerging Markets Investable Fund.
- (6) These securities are beneficially owned by Global Emerging Markets Fund.
- (7) These securities are beneficially owned by The Emerging World Fund.
- (8) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (9) These securities are beneficially owned by Emerging Markets Country Fund.
- (10) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (11) These securities are beneficially owned by The EM Plus CEF Fund.
- (12) These securities are beneficially owned by EM Special Situations CEF Focused Fund.
- (13) These securities are beneficially owned by unaffiliated third-party segregated accounts.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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