#### CITY OF LONDON INVESTMENT GROUP PLC

Form 4

December 26, 2017

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## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* CITY OF LONDON INVESTMENT **GROUP PLC** 

(First)

77 GRACECHURCH STREET, LONDON ENGLAND

(Middle)

(Zip)

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

#### CHINA FUND INC [CHN]

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

Filed(Month/Day/Year)

12/22/2017

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director X\_\_ 10% Owner Officer (give title Other (specify below)

5. Amount of

Securities

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person X\_ Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

LONDON, X0 EC3V0AS

1.Title of	2. Transaction Date	2A. Deemed	3. 4. Securities Acquired
Security	(Month/Day/Year)	Execution Date, if	Transaction(A) or Disposed of (D)
(Instr. 3)	•	any	Code (Instr. 3, 4 and 5)
		(Month/Day/Year)	(Instr. 8)

(A)

or

Beneficially Form: Owned Direct (D) Following or Indirect Reported (I) Transaction(s) (Instr. 4) (Instr. 3 and 4)

7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)

As

Code V Amount (D) Price

Common Stock, par value \$.01 per share (1)

Common Stock, par value

308,857 (12) I

116,563 (12) I

As investment adviser to a private

investment

adviser to a

investment

private

fund (13)

investment fund (13)

\$.01 per share (2)

Common Stock, par value \$.01 per share (3)	250,030 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (4)	172,029 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (5)	321,486 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (6)	168,067 <u>(12)</u>	I	As investment adviser to a Dublin, Ireland-listed open-ended investment company (13)
Common Stock, par value \$.01 per share (7)	149,936 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (8)	305,041 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (9)	46,658 (12)	I	As investment adviser to a private investment fund (13)
Common Stock, par value	42,096 (12)	I	As investment adviser to a

\$.01 per share (10)									private investment fund (13)
Common Stock, par value \$.01 per share (11)	12/22/2017	12/22/2017	P	11,708	A	\$ 21.21	2,281,685 (12)	I	As investment adviser to unaffiliated third-party segregated accounts (13)
Reminder: Re	eport on a separate lin	e for each class of secu	ırities ben	neficially ov	vned o	lirectly or	indirectly.		
Persons who respond to the collection of							SEC 1474		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

number.

information contained in this form are not

required to respond unless the form displays a currently valid OMB control

(9-02)

9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transa Code (Instr.	ection 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)
			Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	

# **Reporting Owners**

Reporting Owner Name / Address		Relationships					
		10% Owner	Officer	Other			
CITY OF LONDON INVESTMENT GROUP PLC							
77 GRACECHURCH STREET		X					
LONDON ENGLAND		Λ					
LONDON, X0 EC3V0AS							
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD							
77 GRACECHURCH STREET		X					
LONDON ENGLAND		Λ					
LONDON, X0 EC3V0AS							

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# **Signatures**

/s/ Barry Olliff, Director - City of London Group PLC 12/26/2017

\*\*Signature of Reporting Person Date

/s/ Barry Olliff, Director - City of London Investment Management Company
Limited 12/26/2017

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned by 14 unaffiliated third-party segregated accounts.
- (12) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.

As of the date hereof, City of London Group PLC ("CLIG"), through its control of City of London Investment Management Company (13) Limited ("CLIM"), and CLIM, in its capacity as investment adviser to the funds listed above and the 14 unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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