CITY OF LONDON INVESTMENT GROUP PLC

Form 4

December 04, 2017

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Check this box

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

(Print or Type Responses)

1(b).

30(h) of the Investment Company Act of 1940

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Issuer Symbol

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

CITY OF LONDON INVESTMENT **GROUP PLC**

1. Name and Address of Reporting Person *

(First) (Middle) (Last)

77 GRACECHURCH

(State)

STREET, LONDON ENGLAND

(Street)

(Zip)

CHINA FUND INC [CHN] 3. Date of Earliest Transaction

(Month/Day/Year) 12/01/2017

(Check all applicable)

Director __ 10% Owner Other (specify Officer (give title below)

OMB APPROVAL

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person X_ Form filed by More than One Reporting

LONDON, X0 EC3V0AS

(City)

1.Title of 2. Transaction Date 2A. Deemed 4. Securities Acquired 5. Amount of 7. Nature of 3. Transaction(A) or Disposed of (D) Ownership Security (Month/Day/Year) Execution Date, if Securities Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Beneficial (Month/Day/Year) (Instr. 8) Owned Direct (D) Ownership Following or Indirect (Instr. 4) Reported (I) (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price

Common As investment Stock, par adviser to a 308,857 (12) I value \$.01 private per share investment (1) fund (13) Common As investment adviser to a

Stock, par \$ 21.38 P 7.000 A 88,120 (12) value \$.01 12/01/2017 12/01/2017

per share

Common Stock, par Ι

investment fund (13)

private

250.030 (12)

As investment adviser to a

value \$.01 per share		private investment fund (13)
Common Stock, par value \$.01 per share (4)	172,029 (12) I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share	321,486 (12) I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (6)	168,067 <u>(12)</u> I	As investment adviser to a Dublin, Ireland-listed open-ended investment company (13)
Common Stock, par value \$.01 per share	149,936 (12) I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (8)	305,041 (12) I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share	46,658 <u>(12)</u> I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (10)	42,096 <u>(12)</u> I	As investment adviser to a private investment fund (13)
Common Stock, par value \$.01 per share (11)	2,240,561 I	As investment adviser to unaffiliated third-party segregated accounts (13)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Deletionships

SEC 1474

(9-02)

9. Nu Deriv Secur Bene

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc		7. Titl	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transaction	onNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e		Secur	ities	(Instr. 5)
	Derivative		• •	, ,	Securities			(Instr.	3 and 4)	, , ,
	Security				Acquired			`		
	•				(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration		or	
						*	*	Title	Number	
						Excicisable Date		of		
				Code V	(A) (D)				Shares	

Reporting Owners

Reporting Owner Name / Address		Relationships				
		10% Owner	Officer	Other		
CITY OF LONDON INVESTMENT GROUP PLC 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS		X				
CITY OF LONDON INVESTMENT MANAGEMENT CO LTD 77 GRACECHURCH STREET LONDON ENGLAND LONDON, X0 EC3V0AS		X				

Signatures

/s/ Barry Olliff, Director - City of London Group PLC		
**Signature of Reporting Person	Date	
/s/ Barry Olliff, Director - City of London Investment Management Company Limited	12/04/2017	
**Signature of Reporting Person	Date	

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 3

- (1) These securities are beneficially owned by Emerging (BMI) Markets Country Fund.
- (2) These securities are beneficially owned by Emerging Markets Free Fund.
- (3) These securities are beneficially owned by Emerging Markets Global Fund.
- (4) These securities are beneficially owned by Emerging Markets Investable Fund.
- (5) These securities are beneficially owned by Global Emerging Markets Fund.
- (6) These securities are beneficially owned by The Emerging World Fund.
- (7) These securities are beneficially owned by Emerging Free Markets Country Fund.
- (8) These securities are beneficially owned by Emerging Markets Country Fund.
- (9) These securities are beneficially owned by Investable Emerging Markets Country Fund.
- (10) These securities are beneficially owned by The EM Plus CEF Fund.
- (11) These securities are beneficially owned by 14 unaffiliated third-party segregated accounts.
- (12) No one direct beneficial owner of the reported securities owns more than 5% of the outstanding securities of Issuer.
- As of the date hereof, City of London Group PLC ("CLIG"), through its control of City of London Investment Management Company (13) Limited ("CLIM"), and CLIM, in its capacity as investment adviser to the funds listed above and the 14 unaffiliated third-party segregated accounts, have voting and dispositive power with respect to all of the reported securities.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.