

Edgar Filing: DIVIDEND & INCOME FUND - Form NSAR-A

DIVIDEND & INCOME FUND

Form NSAR-A

August 29, 2013

PAGE 1
000 A000000 06/30/2013
000 C000000 0001059213
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 DIVIDEND AND INCOME FUND
001 B000000 811-08747
001 C000000 2127850900
002 A000000 11 HANOVER SQUARE
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10005
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
007 C010200 2
007 C010300 3
007 C010400 4
007 C010500 5
007 C010600 6
007 C010700 7
007 C010800 8
007 C010900 9
007 C011000 10
008 A000001 BEXIL ADVISERS LLC
008 B000001 A
008 C000001 801-71976
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10005
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY, LLC
012 B000001 84-00416
012 C010001 BROOKLYN
012 C020001 NY
012 C030001 11219
013 A000001 TAIT, WELLER & BAKER LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
015 A000001 STATE STREET BANK & TRUST COMPANY
015 B000001 C
015 C010001 KANSAS CITY
015 C020001 MO
PAGE 2
015 C030001 64105
015 E010001 X
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 FIDELITY BROKERAGE

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| | | |
|-----|---------|---------------------------------|
| 020 | C000001 | 14 |
| 020 | A000002 | FBR CAPITAL MARKETS |
| 020 | C000002 | 3 |
| 020 | A000003 | TRADE MANAGE CAPITAL |
| 020 | C000003 | 3 |
| 020 | A000004 | JP MORGAN SECURITIES INC. |
| 020 | C000004 | 1 |
| 020 | A000005 | BMO CAPITAL MARKETS |
| 020 | C000005 | 1 |
| 020 | A000006 | RBC CAPITAL MARKETS |
| 020 | C000006 | 0 |
| 020 | A000007 | STATE STREET GLOBAL MARKETS LLC |
| 020 | C000007 | 0 |
| 020 | A000008 | STATE STREET BANK |
| 020 | C000008 | 0 |
| 020 | C000009 | 0 |
| 020 | C000010 | 0 |
| 021 | 000000 | 22 |
| 022 | A000001 | STATE STREET |
| 022 | C000001 | 1457 |
| 022 | D000001 | 1287 |
| 022 | A000002 | FIDELITY CAPITAL MARKETS |
| 022 | C000002 | 0 |
| 022 | D000002 | 2521 |
| 022 | A000003 | JEFFERIES & CO. |
| 022 | C000003 | 54 |
| 022 | D000003 | 54 |
| 022 | A000004 | STATE STREET GLOBAL MARKETS |
| 022 | C000004 | 0 |
| 022 | D000004 | 46 |
| 022 | C000005 | 0 |
| 022 | D000005 | 0 |
| 022 | C000006 | 0 |
| 022 | D000006 | 0 |
| 022 | C000007 | 0 |
| 022 | D000007 | 0 |
| 022 | C000008 | 0 |
| 022 | D000008 | 0 |
| 022 | C000009 | 0 |
| 022 | D000009 | 0 |
| 022 | C000010 | 0 |
| 022 | D000010 | 0 |
| 023 | C000000 | 1511 |
| 023 | D000000 | 3908 |
| 024 | 000000 | N |
| | PAGE | 3 |
| 025 | D000001 | 0 |
| 025 | D000002 | 0 |
| 025 | D000003 | 0 |
| 025 | D000004 | 0 |
| 025 | D000005 | 0 |
| 025 | D000006 | 0 |
| 025 | D000007 | 0 |
| 025 | D000008 | 0 |
| 026 | A000000 | N |
| 026 | B000000 | Y |
| 026 | C000000 | N |
| 026 | D000000 | Y |
| 026 | E000000 | N |
| 026 | F000000 | N |
| 026 | G010000 | N |
| 026 | G020000 | N |

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| | | | |
|-----|---------|-------|---|
| 026 | H000000 | Y | |
| 027 | 000000 | N | |
| 028 | A010000 | | 0 |
| 028 | A020000 | | 0 |
| 028 | A030000 | | 0 |
| 028 | A040000 | | 0 |
| 028 | B010000 | | 0 |
| 028 | B020000 | | 0 |
| 028 | B030000 | | 0 |
| 028 | B040000 | | 0 |
| 028 | C010000 | | 0 |
| 028 | C020000 | | 0 |
| 028 | C030000 | | 0 |
| 028 | C040000 | | 0 |
| 028 | D010000 | | 0 |
| 028 | D020000 | | 0 |
| 028 | D030000 | | 0 |
| 028 | D040000 | | 0 |
| 028 | E010000 | | 0 |
| 028 | E020000 | | 0 |
| 028 | E030000 | | 0 |
| 028 | E040000 | | 0 |
| 028 | F010000 | | 0 |
| 028 | F020000 | | 0 |
| 028 | F030000 | | 0 |
| 028 | F040000 | | 0 |
| 028 | G010000 | | 0 |
| 028 | G020000 | | 0 |
| 028 | G030000 | | 0 |
| 028 | G040000 | | 0 |
| 028 | H000000 | | 0 |
| 030 | A000000 | | 0 |
| 030 | B000000 | 0.00 | |
| 030 | C000000 | 0.00 | |
| 031 | A000000 | | 0 |
| | PAGE | 4 | |
| 031 | B000000 | | 0 |
| 032 | 000000 | | 0 |
| 033 | 000000 | | 0 |
| 035 | 000000 | | 0 |
| 036 | B000000 | | 0 |
| 038 | 000000 | | 0 |
| 042 | A000000 | | 0 |
| 042 | B000000 | | 0 |
| 042 | C000000 | | 0 |
| 042 | D000000 | | 0 |
| 042 | E000000 | | 0 |
| 042 | F000000 | | 0 |
| 042 | G000000 | | 0 |
| 042 | H000000 | | 0 |
| 043 | 000000 | | 0 |
| 044 | 000000 | | 0 |
| 045 | 000000 | Y | |
| 046 | 000000 | N | |
| 047 | 000000 | Y | |
| 048 | 000000 | 0.950 | |
| 048 | A010000 | | 0 |
| 048 | A020000 | 0.000 | |
| 048 | B010000 | | 0 |
| 048 | B020000 | 0.000 | |
| 048 | C010000 | | 0 |
| 048 | C020000 | 0.000 | |

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| | | |
|-----|---------|-------|
| 048 | D010000 | 0 |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0 |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0 |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0 |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0 |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0 |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0 |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0 |
| 048 | K020000 | 0.000 |
| 049 | 000000 | N |
| 050 | 000000 | N |
| 051 | 000000 | N |
| 052 | 000000 | N |
| 053 | A000000 | N |
| 054 | A000000 | N |
| 054 | B000000 | N |
| 054 | C000000 | N |
| 054 | D000000 | N |
| | PAGE | 5 |
| 054 | E000000 | N |
| 054 | F000000 | N |
| 054 | G000000 | Y |
| 054 | H000000 | Y |
| 054 | I000000 | N |
| 054 | J000000 | N |
| 054 | K000000 | N |
| 054 | L000000 | N |
| 054 | M000000 | Y |
| 054 | N000000 | N |
| 054 | O000000 | N |
| 055 | A000000 | Y |
| 055 | B000000 | Y |
| 056 | 000000 | N |
| 057 | 000000 | N |
| 058 | A000000 | N |
| 059 | 000000 | Y |
| 060 | A000000 | Y |
| 060 | B000000 | Y |
| 061 | 000000 | 0 |
| 062 | A000000 | N |
| 062 | B000000 | 0.0 |
| 062 | C000000 | 0.0 |
| 062 | D000000 | 0.0 |
| 062 | E000000 | 0.0 |
| 062 | F000000 | 0.0 |
| 062 | G000000 | 0.0 |
| 062 | H000000 | 0.0 |
| 062 | I000000 | 0.0 |
| 062 | J000000 | 0.0 |
| 062 | K000000 | 0.0 |
| 062 | L000000 | 0.0 |
| 062 | M000000 | 0.0 |
| 062 | N000000 | 0.0 |
| 062 | O000000 | 0.0 |
| 062 | P000000 | 0.0 |

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| | | |
|-----|---------|--------|
| 062 | Q000000 | 0.0 |
| 062 | R000000 | 0.0 |
| 063 | A000000 | 0 |
| 063 | B000000 | 0.0 |
| 066 | A000000 | Y |
| 066 | B000000 | N |
| 066 | C000000 | N |
| 066 | D000000 | N |
| 066 | E000000 | N |
| 066 | F000000 | Y |
| 066 | G000000 | N |
| 067 | 000000 | N |
| 068 | A000000 | N |
| 068 | B000000 | N |
| 069 | 000000 | N |
| | PAGE | 6 |
| 070 | A010000 | Y |
| 070 | A020000 | N |
| 070 | B010000 | Y |
| 070 | B020000 | N |
| 070 | C010000 | Y |
| 070 | C020000 | N |
| 070 | D010000 | Y |
| 070 | D020000 | N |
| 070 | E010000 | Y |
| 070 | E020000 | N |
| 070 | F010000 | Y |
| 070 | F020000 | N |
| 070 | G010000 | Y |
| 070 | G020000 | N |
| 070 | H010000 | Y |
| 070 | H020000 | N |
| 070 | I010000 | Y |
| 070 | I020000 | N |
| 070 | J010000 | Y |
| 070 | J020000 | Y |
| 070 | K010000 | Y |
| 070 | K020000 | Y |
| 070 | L010000 | Y |
| 070 | L020000 | N |
| 070 | M010000 | Y |
| 070 | M020000 | N |
| 070 | N010000 | Y |
| 070 | N020000 | Y |
| 070 | O010000 | Y |
| 070 | O020000 | Y |
| 070 | P010000 | Y |
| 070 | P020000 | N |
| 070 | Q010000 | N |
| 070 | Q020000 | N |
| 070 | R010000 | Y |
| 070 | R020000 | N |
| 071 | A000000 | 13270 |
| 071 | B000000 | 25642 |
| 071 | C000000 | 123246 |
| 071 | D000000 | 11 |
| 072 | A000000 | 6 |
| 072 | B000000 | 588 |
| 072 | C000000 | 1939 |
| 072 | D000000 | 0 |
| 072 | E000000 | 1 |
| 072 | F000000 | 589 |

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| | |
|-------------|----|
| 072 G000000 | 81 |
| 072 H000000 | 0 |
| 072 I000000 | 5 |
| 072 J000000 | 18 |
| 072 K000000 | 9 |

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| | |
|-------------|--------|
| 072 L000000 | 28 |
| 072 M000000 | 24 |
| 072 N000000 | 32 |
| 072 O000000 | 0 |
| 072 P000000 | 119 |
| 072 Q000000 | 37 |
| 072 R000000 | 18 |
| 072 S000000 | 77 |
| 072 T000000 | 0 |
| 072 U000000 | 0 |
| 072 V000000 | 15 |
| 072 W000000 | 17 |
| 072 X000000 | 1069 |
| 072 Y000000 | 0 |
| 072 Z000000 | 1459 |
| 072AA000000 | 1851 |
| 072BB000000 | 0 |
| 072CC010000 | 13105 |
| 072CC020000 | 0 |
| 072DD010000 | 4940 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 0.2500 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.5700 |
| 074 A000000 | 0 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 12054 |
| 074 E000000 | 0 |
| 074 F000000 | 102244 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 3274 |
| 074 J000000 | 0 |
| 074 K000000 | 0 |
| 074 L000000 | 442 |
| 074 M000000 | 93 |
| 074 N000000 | 118107 |
| 074 O000000 | 0 |
| 074 P000000 | 121 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 12395 |
| 074 S000000 | 0 |
| 074 T000000 | 105591 |
| 074 U010000 | 6062 |
| 074 U020000 | 0 |

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| | |
|-------------|--------|
| 074 V010000 | 17.42 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 64 |

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| | | |
|-----------|-----------------|-------------------------------|
| 074 | Y000000 | 0 |
| 075 | A000000 | 0 |
| 075 | B000000 | 103093 |
| 076 | 000000 | 15.98 |
| 077 | A000000 | Y |
| 077 | C000000 | Y |
| 080 | C000000 | 0 |
| 081 | B000000 | 0 |
| 082 | B000000 | 0 |
| 083 | B000000 | 0 |
| 084 | B000000 | 0 |
| 086 | A010000 | 0 |
| 086 | A020000 | 0 |
| 086 | B010000 | 0 |
| 086 | B020000 | 0 |
| 086 | C010000 | 0 |
| 086 | C020000 | 0 |
| 086 | D010000 | 0 |
| 086 | D020000 | 0 |
| 086 | E010000 | 0 |
| 086 | E020000 | 0 |
| 086 | F010000 | 0 |
| 086 | F020000 | 0 |
| 087 | A010000 | SHARES OF BENEFICIAL INTEREST |
| 087 | A020000 | 25538A204 |
| 087 | A030000 | DNI |
| 088 | A000000 | N |
| 088 | B000000 | N |
| 088 | C000000 | N |
| 088 | D000000 | N |
| SIGNATURE | THOMAS O'MALLEY | |
| TITLE | CFO | |