Drzik John Form 4 January 17, 2007

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

1(b).

(Last)

**AVENUE** 

(City)

Common

Common

Stock

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Drzik John

(First) (Middle)

C/O MERCER MANAGEMENT **CONSULTING, 99 PARK** 

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

2. Issuer Name and Ticker or Trading

Symbol

MARSH & MCLENNAN COMPANIES, INC. [MMC]

3. Date of Earliest Transaction (Month/Day/Year)

01/15/2007

5. Relationship of Reporting Person(s) to

OMB

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Issuer

(Check all applicable)

Director 10% Owner

X\_ Officer (give title Other (specify below)

CEO, Mercer Mgmt Consulting

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### NEW YORK, NY 10016

1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Nature of Transaction(A) or Disposed of (D) Form: Direct Indirect Security (Month/Day/Year) Execution Date, if Securities (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially (D) or (Month/Day/Year) (Instr. 8) Owned Indirect (I) Following (Instr. 4) Reported

F

01/15/2007 01/15/2007

(Zip)

V Amount (D) Code 5,834 M Α (1)

(1)

2,267

\$0 D

Price

(A)

22,234

19,967

Transaction(s) (Instr. 3 and 4)

D

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**SEC 1474** (9-02)

Beneficial

Ownership

(Instr. 4)

number.

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## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deriv Secu (Insti
				Code V	,	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	<u>(2)</u>	01/15/2007		M	5,834	(3)	(3)	Common Stock	5,834	\$ (

Dala4!a...al.!..

### **Reporting Owners**

Reporting Owner Name / Address	Keiauonsinps					
. 0	Director	10% Owner	Officer	Other		
Drzik John C/O MERCER MANAGEMENT CONSULTING 99 PARK AVENUE NEW YORK, NY 10016			CEO, Mercer Mgmt Consulting			

## **Signatures**

Leon J. Lichter, Attorney-in-Fact 01/17/2007

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (2) The security converts to MMC Common Stock on a 1-for-1 basis.
- (1) Vesting and distribution to reporting person of 5,834 shares of Restricted Stock Units of which 2,267 shares were withheld by MMC to cover applicatble taxes.
- (3) Not Applicable

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

style="vertical-align:bottom;padding-left:2px;padding-top:2px;padding-bottom:2px;padding-right:2px;">

10,073,539

Reporting Owners 2

#### 2,580,034

Mr. Clark, Mr. Matovina and Mr. Neugent were elected to serve for a term expiring at the 2016 Annual Meeting of Shareholders or until their successors are elected and qualified.

2. 2013 Director Equity and Incentive Plan

For Against Abstain Broker Non-Votes 45,549,376 8,987,429 184,943 2,580,034

The shareholders approved the 2013 Director Equity and Incentive Plan.

3. Short-Term Performance Incentive Plan

For Against Abstain Broker Non-Votes 52,304,070 2,234,061 183,618 2,580,034

The shareholders approved the Short-Term Performance Incentive Plan.

4. Ratification of Appointment of Independent Registered Public Accounting Firm

For Against Abstain Broker
Non-Votes

55,361,568 1,895,807 44,407 —

The appointment of KPMG LLP as our independent auditor for the 2013 fiscal year was ratified.

5. Advisory Vote on Executive Compensation

For Against Abstain Broker Non-Votes 51,502,686 3,011,519 207,542 2,580,034

The shareholders approved, on an advisory basis, the compensation of our named executive officers.

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#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 10, 2013

AMERICAN EQUITY
INVESTMENT LIFE HOLDING COMPANY

By: /s/ John M. Matovina Name: John M. Matovina Title: Chief Executive Officer