

Edgar Filing: BENKOVIC JAMES A - Form 5

BENKOVIC JAMES A
Form 5
February 12, 2003

OMB APPROVAL

OMB Number: 3235-0362
Expires: January 31, 2005
Estimated average burden
hours per response.....1.0

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or
Section 30(h) of the Investment Company Act of 1940

☐ Check box if no longer subject to Section 16. Form 4 or Form 5 obligations
may continue. See Instruction 1(b).

☐ Form 3 Holdings Reported

☒ Form 4 Transactions Reported

1. Name and Address of Reporting Person*

Benkovic

James

(Last)

(First)

(Middle)

1931 Black Rock Turnpike

(Street)

Fairfield

CT

06825

(City)

(State)

(Zip)

2. Issuer Name and Ticker or Trading Symbol

Acme United Corporation (ACU)

3. I.R.S. Identification Number of Reporting Person, if an Entity (Voluntary)

4. Statement for Month/Year

December 31, 2002

5. If Amendment, Date of Original (Month/Year)

Edgar Filing: BENKOVIC JAMES A - Form 5

6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Vice President of Sales

7. Individual or Joint/Group Filing (check applicable line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,
or Beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) or (D)	Price	5. Amount Sec Ben Own at of Fis (In
Common Stock	2/26/2001		A5	600	A	12,
Common Stock	2/26/2001		A5	300	A	12,
Common Stock	8/02/2001		A5	400	A	12,
Common Stock	1/23/2002		A5	600	A	12,
Common Stock	1/23/2002		A5	400	A	12,

* If the form is filed by more than one reporting person, see instruction 4(b) (v).

Persons who respond to the collection of information contained
in this form are not required to respond unless the form displays
a currently valid OMB control number.

(Over)
SEC 2270 (09-02)

FORM 5 (continued)

Edgar Filing: BENKOVIC JAMES A - Form 5

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (mm/dd/ yy)	3A. Deemed Execut- ion Date if any (mm/dd/ yy)	4. Trans- action Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Exer- cisable	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
Stock Option	3.6250	1/24/1995		A5*	5,000	1/24/98 1/24/05	Common Stock
Stock Option	4.0000	6/25/1996		A5*	3,000	6/25/99 6/25/06	Common Stock
Stock Option	5.3750	1/27/1998		A5*	2,000	1/27/01 1/27/08	Common Stock
Stock Option	2.1250	1/26/1999		A5*	5,000	1/26/02 1/26/09	Common Stock
Stock Option	2.1250	6/22/1999		A5*	3,000	6/22/02 1/26/09	Common Stock
Stock Option	1.6250	1/25/2000		A5*	5,000	(a) 1/25/13	Common Stock
Stock Option	2.3750	4/21/2000		A5*	5,000	(b) 1/25/13	Common Stock
Stock Option	3.5600	10/10/2000		A5*	5,000	(c) 1/25/13	Common Stock
Stock Option	2.7500	5/07/2001		A5*	7,000	(d) 1/25/13	Common Stock
Stock Option	3.0500	11/12/2001		A5*	10,000	(e) 11/12/11	Common Stock

Explanation of Responses:

(a) 1,250 shares vested on January 25, 2000, 1,250 shares vested on January 25, 2001, 1,250 shares will vest on January 25, 2003. (b) 1,250 shares vested on April 21, 2000, 1,250 shares

Edgar Filing: BENKOVIC JAMES A - Form 5

shares vested on April 21, 2002 and 1,250 will vest on April 21, 2003. (c) 1,250 shares vested on October 10, 2001, 1,250 shares vested on October 10, 2002 and 1,250 shares will vest on May 7, 2001, 1,750 shares vested on May 7, 2002, 1,750 shares will vest on May 7, 2003 (e) 2,500 shares vested on November 12, 2001, 2,500 shares vested on November 12, 2002, 2,500 shares and 2,500 will vest on November 10, 2004.

/s/ James Benkovic

February 10, 2003

**Signature of Reporting Person

Date

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.
If space provided is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained
in this form are not required to respond unless the form displays
a currently valid OMB control number.