Dorne Eric A. Form 4 September 18, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Dorne Eric A.

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

UNITED NATURAL FOODS INC

(Check all applicable)

[UNFI]

(Middle)

3. Date of Earliest Transaction

Director 10% Owner Other (specify X\_ Officer (give title

6. Individual or Joint/Group Filing(Check

(Month/Day/Year)

09/15/2018

below) SVP, CAIO

C/O UNITED NATURAL FOODS, INC., 313 IRON HORSE WAY

(First)

(Street) 4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

PROVIDENCE, RI 02908

(City)	(State)	(Zip) Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)			4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or			Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount		Price	(Instr. 3 and 4)		
Common Stock	09/15/2018		M(1)	1,682	A	\$ 0 (2)	3,698	D	
Common Stock	09/15/2018		F(1)	511	D	\$ 33.53	3,187	D	
Common Stock	09/15/2018		M(3)	1,810	A	\$ 0 (2)	4,997	D	
Common Stock	09/15/2018		F(3)	550	D	\$ 33.53	4,447	D	
Common Stock	09/17/2018		M(4)	1,731	A	\$ 0 (2)	6,178	D	

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Common Stock	09/17/2018	F(4)	526	D	\$ 34.18	5,652	D	
Common Stock						986	I	See footnote (5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number action Derivative Securities  8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ve Expiration Date (Month/Day/Year)		nd 7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	<u>(6)</u>	09/15/2018		M		1,682	<u>(7)</u>	<u>(7)</u>	Common Stock	1,682	\$
Restricted Stock Unit	<u>(6)</u>	09/15/2018		M		1,810	<u>(7)</u>	<u>(7)</u>	Common Stock	1,810	\$
Restricted Stock Unit	<u>(6)</u>	09/17/2018		M		1,731	<u>(7)</u>	<u>(7)</u>	Common Stock	1,731	\$

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			

Dorne Eric A. C/O UNITED NATURAL FOODS, INC. 313 IRON HORSE WAY PROVIDENCE, RI 02908

SVP, CAIO

Reporting Owners 2

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# **Signatures**

Joseph McGrail, Power-of-Attorney, 09/18/2018

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

- (1) On September 15, 2018, 1,682 shares of United Natural Foods, Inc. (the "Company") restricted stock units vested. The Company retained 511 shares on that date to satisfy certain tax withholding obligations in connection with the vesting.
- (2) Restricted stock units convert into common stock on a one-for-one basis. Accordingly, there was no purchase price paid by the reporting person.
- (3) On September 15, 2018, 1,810 shares of United Natural Foods, Inc. (the "Company") restricted stock units vested. The Company retained 550 shares on that date to satisfy certain tax withholding obligations in connection with the vesting.
- (4) On September 17, 2018, 1,731 shares of United Natural Foods, Inc. (the "Company") restricted stock units vested. The Company retained 526 shares on that date to satisfy certain tax withholding obligations in connection with the vesting.
- (5) Includes 986 shares of common stock allocated to the reporting person under the United Natural Foods, Inc. 401(k) Plan's UNFI Stock Fund as of September 14, 2018.
- (6) Each restricted stock unit represents the right to receive one share of United Natural Foods, Inc. common stock upon vesting in accordance with the terms of the reporting person's restricted stock unit agreement.
- (7) The restricted stock units vest in four equal annual installments beginning on the first anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3