

CONSUMER PORTFOLIO SERVICES INC

Form 4

February 18, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Haskell Richard Brian

(Last) (First) (Middle)

C/O CONSUMER PORTFOLIO SERVICES, 19500 JAMBOREE ROAD

(Street)

IRVINE, CA 92612

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
CONSUMER PORTFOLIO SERVICES INC [CPSS]

3. Date of Earliest Transaction (Month/Day/Year)  
02/13/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Sr. Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	(A) or (D)	5. Amount or Price	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
common stock, no par value	02/13/2014		M	9,200	A	\$ 0.77	9,200	D
common stock, no par value	02/13/2014		S	9,200	D	\$ 7.75	0	D
common stock, no par value	02/14/2014		M	14,800	A	\$ 0.77	14,800	D

Edgar Filing: CONSUMER PORTFOLIO SERVICES INC - Form 4

common stock, no par value	02/14/2014	M	5,000	A	\$ 1.5	19,800	D
common stock, no par value	02/14/2014	M	10,000	A	\$ 1.5	29,800	D
common stock, no par value	02/14/2014	M	7,200	A	\$ 1.81	37,000	D
common stock, no par value	02/14/2014	M	10,000	A	\$ 1.03	47,000	D
common stock, no par value	02/14/2014	M	2,500	A	\$ 1.5	49,500	D
common stock, no par value	02/14/2014	M	2,500	A	\$ 1.5	52,000	D
common stock, no par value	02/14/2014	M	3,600	A	\$ 1.2	55,600	D
common stock, no par value	02/14/2014	M	1,400	A	\$ 1.94	57,000	D
common stock, no par value	02/14/2014	S	57,000	D	\$ <u>7.5</u> (1)	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number

## Edgar Filing: CONSUMER PORTFOLIO SERVICES INC - Form 4

									of Shares
Employee stock option (right to buy)	\$ 0.77	02/13/2014	M	9,200	<u>(2)</u>	05/13/2019	Common stock, no par value	9,200	
Employee stock option (right to buy)	\$ 0.77	02/14/2014	M	14,800	<u>(2)</u>	05/13/2019	Common stock, no par value	14,800	
Employee stock option (right to buy)	\$ 1.5	02/14/2014	M	5,000	<u>(2)</u>	07/30/2017	Common stock, no par value	5,000	
Employee stock option (right to buy)	\$ 1.5	02/14/2014	M	10,000	<u>(2)</u>	01/30/2018	Common stock, no par value	10,000	
Employee stock option (right to buy)	\$ 1.81	02/14/2014	M	7,200	<u>(2)</u>	04/27/2020	Common stock, no par value	7,200	
Employee stock option (right to buy)	\$ 1.03	02/14/2014	M	10,000	<u>(2)</u>	06/03/2021	Common stock, no par value	10,000	
Employee stock option (right to buy)	\$ 1.5	02/14/2014	M	2,500	<u>(2)</u>	11/23/2021	Common stock, no par value	2,500	
Employee stock option (right to buy)	\$ 1.5	02/14/2014	M	2,500	<u>(2)</u>	11/23/2021	Common stock, no par value	2,500	
Employee stock option (right to buy)	\$ 1.2	02/14/2014	M	3,600	<u>(2)</u>	04/03/2022	Common stock, no par value	3,600	

Employee stock option (right to buy)	\$ 1.94	02/14/2014	M	1,400	(2)	07/16/2022	Common stock, no par value	1,400
--	---------	------------	---	-------	-----	------------	----------------------------------	-------

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Haskell Richard Brian C/O CONSUMER PORTFOLIO SERVICES 19500 JAMBOREE ROAD IRVINE, CA 92612			Sr. Vice President	

## Signatures

/s/ Richard B.  
Haskell

02/18/2014

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$7.50 to \$7.51. The price set forth above reflects the

- (1) weighted-average price per share. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.
- (2) Becomes or became exercisable in installments, on various dates.
- (3) Issued in consideration of the named person's service as an officer of the Issuer.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.