

Edgar Filing: LUBRIZOL CORP - Form 5

LUBRIZOL CORP  
 Form 5  
 February 13, 2001

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 WASHINGTON, D.C. 20549  
 FORM 5

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

- ( ) Check box if no longer subject to Section 16.  
 Form 4 or Form 5 obligations may continue. See Instructions 1(b).  
 ( ) Form 3 Holdings Reported  
 ( ) Form 4 Transactions Reported

1. Name and Address of Reporting Person

Hodge, Joe E.  
 29400 Lakeland Boulevard  
 Wickliffe, OH 44092

2. Issuer Name and Ticker or Trading Symbol

The Lubrizol Corporation  
 LZ

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

12/31/00

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person(s) to Issuer (Check all applicable)

- ( ) Director ( ) 10% Owner (X) Officer (give title below) ( ) Other  
 (specify below)  
 Vice President

7. Individual or Joint/Group Reporting (Check Applicable Line)

- (X) Form filed by One Reporting Person  
 ( ) Form filed by More than One Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security	2. Transaction Date	3. Code	4. Securities Acquired (A) or Disposed of (D)			5. Amount of Securities Beneficially Owned at End of Year
			Amount	A/D	Price	
Common Shares	(1)	A	9,439.285	A (1)	14,169.5128	
Common Shares					4,035.4491 (2)	
Common Shares	6/7/00	I	448.1793	A \$22.3125		
	10/3/00	I	489.2966	A \$20.4375	6,759.7612 (3)	
	0					

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Derivative Security	2. Conversion or Exercise Price	3. Transaction Date	4. Code	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities	8. Percentage of Total Ownership
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Type of Derivative Security	Price of Derivative	Date Acquired	Quantity	Amount	Type of Derivative	Expiration Date (Day/Month/Year)			Title and Number of Shares
						Start	End	Year	
Employee Stock Option (Right to Buy)	\$28.1250	3/27/2000	27,000		A	3/27/2001	3/27/2010		Common Share 13,500
						3/27/2002	3/27/2010		Common Share 6,750
						3/27/2003	3/27/2010		Common Share 6,750

Explanation of Responses:

- (1) Acquired on various dates between February 11 and December 31, 2000, pursuant to Lubrizol's deferred compensation plan, at prices ranging from \$21.6875 and \$27.6125 per share.
- (2) Reflects acquisitions pursuant to a dividend reinvestment plan exempt under Rule 16a-11.
- (3) Reflects end-of-period holdings resulting from acquisitions pursuant to a qualified plan, which are exempt under Rule 16b-3(c).

SIGNATURE OF REPORTING PERSON  
/s/ Joe E. Hodge by Leslie M. Reynolds  
DATE  
February 8, 2001