

HUDSON TECHNOLOGIES INC /NY  
Form 4  
May 08, 2015

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
ZUGIBE KEVIN J

2. Issuer Name and Ticker or Trading Symbol  
HUDSON TECHNOLOGIES INC /NY [HDSN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
PO BOX 754  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
05/06/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
Chairman, Chief Executive Off

PEARL RIVER, NY 10965

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount  |  |                                   |
| Common Stock                    | 05/06/2015                           |  | S                              |   | 145,300   | D  |                                   |
|                                 |                                      |  |                                |   | 4.55  |  |                                   |
|                                 |                                      |  |                                |   | (1)   |  |                                   |
| Common Stock                    | 05/07/2015                           |  | S                              |   | 100,000   | D  |                                   |
|                                 |                                      |  |                                |   | \$ 4.08   |  |                                   |
| Common Stock                    | 05/08/2015                           |  | M                              |   | 18,750  | A  |                                   |
|                                 |                                      |  |                                |   | \$ 0.83   |  |                                   |
| Common Stock                    | 05/08/2015                           |  | M                              |   | 18,750  | A  |                                   |
|                                 |                                      |  |                                |   | \$ 2.15   |  |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|
| Stock Option (right to buy)                | \$ 0.83  | 05/08/2015                           |  | M                              | 18,750  | 07/08/2005 07/08/2015                                    | Common Stock  | 18,750                     |
| Stock Option (right to buy)                | \$ 2.15  | 05/08/2015                           |  | M                              | 18,750  | 09/30/2005 09/30/2015                                    | Common Stock  | 18,750                     |

## Reporting Owners

| Reporting Owner Name / Address                        | Relationships |           |                               |       |
|---|---------------|-----------|-------------------------------|-------|
|   | Director      | 10% Owner | Officer                       | Other |
| ZUGIBE KEVIN J<br>PO BOX 754<br>PEARL RIVER, NY 10965 | X             |           | Chairman, Chief Executive Off |       |

## Signatures

Kevin J. Zugibe 05/08/2015

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$4.4004 to \$4.6516 per share inclusive. The reporting person undertakes to provide Hudson Technologies, Inc., any security holder of Hudson Technologies, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in footnote (1) to this Form 4,

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- (2) Includes 758,550 shares which may be purchased pursuant to stock options.
- (3) Includes 739,800 shares which may be purchased pursuant to stock options.
- (4) Includes 721,050 shares which may be purchased pursuant to stock options.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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