**UNIFI INC** Form 4 December 05, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL OMB** 

3235-0287 Number: January 31, Expires:

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations

may continue. See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* BERRIER R ROGER JR

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

(Middle)

UNIFI INC [UFI]

(Check all applicable)

148 BROADMOOR DRIVE

3. Date of Earliest Transaction

(Month/Day/Year)

12/03/2013

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify

below)

President and COO

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

ADVANCE, NC 27006

(City)	(State) (Z	ip) Table	I - Non-De	rivative Se	ecuriti	es Acquir	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ear) Execution Date, if Trans		Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	rities Ownership eficially Form: ed Direct (D) owing or Indirect orted (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)		
COMMON STOCK	12/03/2013		M	16,666	A	\$ 10.2	51,247	D	
COMMON STOCK	12/03/2013		M	21,666	A	\$ 8.67	72,913	D	
COMMON STOCK	12/03/2013		M	16,666	A	\$ 8.28	89,579	D	
COMMON STOCK	12/03/2013		M	99,999	A	\$ 8.16	189,578	D	
COMMON STOCK	12/03/2013		F	71,947	D	\$ 26.66	117,631	D	

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COMMON STOCK	12/03/2013	D	83,050	D	\$ 25.59	34,581	D	
COMMON STOCK	12/03/2013	F	100	D	\$ 26.66	7,066	I	Owned by wife's trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

		(**8*)1	,,,	· • · · · · · · · · · · · · · · · · · ·			,			
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of actionDerivative Securities 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amoun or Numbe of Shar
STOCK OPTION (Right to Buy)	\$ 10.2	12/03/2013		M		5,555	04/19/2006	04/19/2016	COMMON STOCK	5,555
STOCK OPTION (Right to Buy)	\$ 10.2	12/03/2013		M		5,555	04/19/2007	04/19/2016	COMMON STOCK	5,555
STOCK OPTION (Right to Buy)	\$ 10.2	12/03/2013		M		5,556	04/19/2008	04/19/2016	COMMON STOCK	5,556
STOCK OPTION (Right to Buy)	\$ 8.67	12/03/2013		M		7,222	07/26/2006	07/26/2016	COMMON STOCK	7,222
STOCK OPTION (Right to Buy)	\$ 8.67	12/03/2013		M		7,222	07/26/2007	07/26/2016	COMMON STOCK	7,222
	\$ 8.67	12/03/2013		M		7,222	07/26/2008	07/26/2016		7,222

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STOCK OPTION (Right to Buy)							COMMON STOCK	
STOCK OPTION (Right to Buy)	\$ 8.28	12/03/2013	М	5,555	06/28/2004	06/28/2014	COMMON STOCK	5,555
STOCK OPTION (Right to Buy)	\$ 8.28	12/03/2013	М	5,555	06/28/2005	06/28/2014	COMMON STOCK	5,555
STOCK OPTION (Right to Buy)	\$ 8.28	12/03/2013	М	5,556	06/28/2006	06/28/2014	COMMON STOCK	5,556
STOCK OPTION (Right to Buy)	\$ 8.16	12/03/2013	М	99,999	<u>(1)</u>	10/24/2017	COMMON STOCK	99,99

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
BERRIER R ROGER JR 148 BROADMOOR DRIVE ADVANCE, NC 27006	X		President and COO				
Signatures							

/s/R. Roger Berrier, Jr. by Ben Sirmons, 12/05/2013 **POA** 

> \*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Options vested and became exercisable on the date that the closing price of the Registrant's common stock on the New York Stock Exchange was at least \$18.00 per share for thirty (30) consecutive trading days.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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