

Hayes Thomas P  
Form 4  
December 20, 2017

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hayes Thomas P

2. Issuer Name and Ticker or Trading Symbol  
TYSON FOODS INC [TSN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
2200 W. DON TYSON PARKWAY

3. Date of Earliest Transaction (Month/Day/Year)  
11/28/2017

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
President and CEO

(Street)  
SPRINGDALE, AR 72762

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |
| Class A Common Stock            | 11/28/2017                           |  | J <sup>(1)</sup>               |   | 8,581   | D  | \$ 0  |
|                                 |                                      |  |                                |   |   |  | 103,309.6523 <sup>(2)</sup>                           |
| Class A Common Stock            | 12/18/2017                           |  | J <sup>(3)</sup>               |   | 285.394   | A  | \$ 0  |
|                                 |                                      |  |                                |   |   |  | 103,595.0463 <sup>(4)</sup>                           |
| Class A Common Stock            | 12/19/2017                           |  | M                              |   | 41,745  | A  | \$ 42.26  |
|                                 |                                      |  |                                |   |   |  | 145,340.0463 <sup>(4)</sup>                           |
| Class A Common                  | 12/19/2017                           |  | M                              |   | 12,254  | A  | \$ 50   |
|                                 |                                      |  |                                |   |   |  | 157,594.0463 <sup>(4)</sup>                           |

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|                            |            |  |                  |        |   |                     |                     |   |
|----------------------------|------------|--|------------------|--------|---|---------------------|---------------------|---|
| Stock                      |            |  |                  |        |   |                     |                     |   |
| Class A<br>Common<br>Stock | 12/19/2017 |  | M                | 14,749 | A | \$ 58.34            | 172,343.0463<br>(4) | D |
| Class A<br>Common<br>Stock | 12/19/2017 |  | S                | 31,454 | D | \$<br>81.564<br>(5) | 140,889.0463<br>(4) | D |
| Class A<br>Common<br>Stock | 12/19/2017 |  | S                | 9,829  | D | \$<br>81.721        | 131,060.0463<br>(4) | D |
| Class A<br>Common<br>Stock | 12/19/2017 |  | S                | 12,591 | D | \$<br>81.714<br>(6) | 118,469.0463<br>(4) | D |
| Class A<br>Common<br>Stock | 12/20/2017 |  | J <sup>(1)</sup> | 14,874 | D | \$ 0                | 103,595.0463<br>(4) | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |       |                |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|----------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title | Am or Nur of S |
| Non-Qualified Stock Options (Right to Buy) | \$ 42.26   | 12/19/2017                           |  | M                              | 41,745  | 11/21/2015 11/21/2024                                    | Class A Common Stock  | 41    |                |
| Non-Qualified Stock Options (Right to Buy) | \$ 50  | 12/19/2017                           |  | M                              | 12,254  | 11/30/2016 11/30/2025                                    | Class A Common Stock  | 12    |                |
| Non-Qualified Stock Options (Right to Buy) | \$ 58.34   | 12/19/2017                           |  | M                              | 14,749  | 11/28/2017 11/28/2026                                    | Class A Common Stock  | 14    |                |

# Reporting Owners

| Reporting Owner Name / Address                                      | Relationships |           |                      |       |
|---|---------------|-----------|----------------------|-------|
|   | Director      | 10% Owner | Officer              | Other |
| Hayes Thomas P<br>2200 W. DON TYSON PARKWAY<br>SPRINGDALE, AR 72762 | X             |           | President<br>and CEO |       |

# Signatures

/s/ R. Read Hudson by Power of Attorney for Thomas P. Hayes  
12/20/2017

\_\_Signature of Reporting Person
Date

# Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents a disposition of shares exempt from Section 16 concurrent reporting requirements pursuant to Rule 16a-12.  
 Includes 47,814.9497 shares of Class A Common Stock which vest on July 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; 8,634.783 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; 20,644.945 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and 17,634.988 shares of Class A Common Stock which vest on November 13, 2020 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.
- (3) Represents shares of the Issuer's Class A Common Stock received by the Reporting Person pursuant to the Issuer's dividend reinvestment plan since the last Statement of Changes in Beneficial Ownership was filed by the Reporting Person. Such acquisitions are exempt from Section 16 concurrent reporting requirements pursuant to Rule 16a-11.  
 Includes 47,991.9537 shares of Class A Common Stock which vest on July 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; 8,666.748 shares of Class A Common Stock which vest on December 1, 2018 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; 20,721.37 shares of Class A Common Stock which vest on November 18, 2019 if the performance metrics described in the applicable Stock Incentive Agreement are achieved; and 17,634.988 shares of Class A Common Stock which vest on November 13, 2020 if the performance metrics described in the applicable Stock Incentive Agreement are achieved.
- (5) This is a weighted average price. These shares were sold in multiple transactions on December 19, 2017 at prices ranging from \$81.50 to \$81.71, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.
- (6) This is a weighted average price. These shares were sold in multiple transactions on December 19, 2017 at prices ranging from \$81.69 to \$81.78, inclusive. The Reporting Person undertakes to provide to the Issuer, any security holder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within this range.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.